

## Exhibit G

1 UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF WEST VIRGINIA  
2 AT CHARLESTON  
3 IN RE: ETHICON, INC. : Master File No.  
PELVIC REPAIR SYSTEM : 2:12-MD-02327  
4 PRODUCTS LIABILITY LITIGATION : MDL 2327  
:  
5 : JOSEPH R.  
THIS DOCUMENT RELATES TO : GOODWIN  
6 THE FOLLOWING CASES IN : US DISTRICT  
WAVE 1 OF MDL 200: : JUDGE

7  
Myra Byrd, et al. v. Ethicon, Inc., et al.  
8 Civil Action No. 2:12-cv-00748  
9 Angela Coleman, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-01267  
10  
Dina Destefano-Raston, et al. v. Ethicon, Inc., et al.  
11 Civil Action No. 2:12-cv-01299  
12 Rose Gomez, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-00344  
13  
Dawna Hankins v. Ethicon, Inc., et al.  
14 Civil Action No. 2:12-cv-00369  
15 Donna Hankins, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-01011  
16  
Wilma Johnson v. Ethicon, Inc., et al.  
17 Civil Action No. 2:11-cv-00809  
18 Debra Lynn Joplin v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-00787  
19  
Margaret Kirkpatrick v. Ethicon, Inc., et al.  
20 Civil Action No. 2:12-cv-00746  
21 Paula Kriz, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-00938  
22  
Miranda Patterson v. Ethicon, Inc., et al.  
23 Civil Action No. 2:12-cv-00481

24 DEPOSITION OF ELAINE DUNCAN  
MARCH 31, 2016

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Civil Action No. 2:12-cv-00654

6

Jennifer Sikes, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-00501

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8

Sheri Scholl, et al. v. Ethicon, Inc.  
Civil Action No. 2:12-cv-00738

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Carrie Smith v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-00258

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11

Krystal Teasley, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-00500

12

Lisa Thompson, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-01199

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Roberta Warmack, et al. v. Ethicon, Inc., et al.  
Civil Action No. 2:12-cv-01150

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Deposition of  
Elaine Duncan  
Thursday, March 31, 2016  
10:20 a.m.

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Reported by:  
Barbara J. Carey, RPR

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Elaine Duncan

1 I N D E X

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1                                   Deposition of Elaine Duncan, taken  
2   pursuant to notice, was held at the law offices of Nilan  
3   Johnson Lewis, PA, 120 South Sixth Street, Suite 400,  
4   Minneapolis, Minnesota 55402, commencing at 10:20 a.m. on  
5   the above date, before Barbara J. Carey, Registered  
6   Professional Reporter and Notary Public in and for the  
7   State of Minnesota.

8   WHEREUPON, the following proceedings were duly had:

9   (The oath was administered by the reporter.)

10                               WITNESS RESPONSE: I do.

11                               THE REPORTER: Thank you.

12                               ELAINE DUNCAN,  
13   after having been first duly sworn, was called as a  
14   witness and testified as follows:

15                               EXAMINATION

16   BY MR. WALLACE:

17               Q.   Good morning, Ms. Duncan. We met before;  
18   right?

19               A.   Yes.

20               Q.   And I'm going to try to move quickly. I think  
21   there was a misunderstanding about the -- the start time,  
22   but you're prepared to go forward; right?

23               A.   Certainly.

24               Q.   Okay. I'm going to give you what we're first

1 going to mark as Exhibit 1.

2 (Whereupon, Exhibit 1 was marked.)

3 BY MR. WALLACE:

4 Q. And I'll represent to you I believe it's a  
5 Deposition Notice, and I'm going to ask whether or not  
6 you've seen that before, or something like it, asking for  
7 you to attend the deposition today and also asking you for  
8 some documents.

9 A. Yes.

10 Q. Okay. Have you brought any additional  
11 documents with you today that you haven't produced in the  
12 past?

13 A. Well, I brought the estimate of the fees for  
14 this case, for the WAVE cases, rather.

15 THE REPORTER: For the what?

16 THE WITNESS: The WAVE cases, and -- and  
17 I have another copy of my CV.

18 Do you need that?

19 BY MR. WALLACE:

20 Q. Is it more up-to-date than what you attached  
21 to your report?

22 A. No, it's the same one.

23 Q. Then I don't need a copy.

24 A. All right.



1 Q. We'll go ahead and mark this as Exhibit 2,  
2 then. Can we -- do you mind if we mark this?

3 A. That's fine.

4 (Whereupon, Exhibit 2 was marked.)

5 BY MR. WALLACE:

6 Q. So in response to the documents that were  
7 requested in Exhibit 1, which is the Notice, you brought  
8 with you another copy of your CV, as well as Exhibit 2,  
9 which is an estimate of hours you submitted in the case?

10 A. Yes.

11 MR. DAVIS: Let me just note she does  
12 have a number of other notebooks here of all the footnoted  
13 documents. Those have all been produced, but just note  
14 that she does have them.

15 BY MR. WALLACE:

16 Q. So you haven't brought any notes with you that  
17 you took in the course of your work?

18 A. I have not. I didn't have any.

19 Q. You brought a lot of documents with you that  
20 look to be at least eight or nine, if not more, ten  
21 binders on the table or benches over there, as well as  
22 another four in front of you; is that right?

23 A. Yes.

24 Q. And how did you keep track of your thoughts or

1 ideas or opinions that eventually worked their way into  
2 the report?

3 A. I basically started writing the report with  
4 the main document, so I just had the document.

5 Q. Okay. So if I understand you correctly, what  
6 you're saying is that as you came across documents that  
7 interested you or that you thought were relevant, you  
8 wrote about them in the report?

9 A. (Witness nodding head.)

10 Q. And that sort of served as your note-taking,  
11 as well?

12 A. That -- that's pretty much as I did it. So as  
13 I would write, I would put the ETH.MESH information next  
14 to it, so in the paragraph, and then I transposed those to  
15 footnotes.

16 Q. And with respect to Exhibit 2, the hours, you  
17 say it's only an estimate.

18 Have you not invoiced for your work?

19 A. I have not invoiced all of the work, just  
20 we've sent out an invoice in January, so I added all of  
21 these together, and it was only a partial invoice for the  
22 TVT-R WAVE. So we're pending an invoice for everything,  
23 which we will finish off after this meeting. So that's  
24 why this is just an estimate.

1 Q. Okay. Just to be clear, though, is Exhibit 2  
2 a complete statement of your hours?

3 A. Complete statement of my hours. What it  
4 doesn't include, as I say on this, it doesn't include any  
5 expenses, any of our office staff help or the cost of the  
6 bindings and that sort of thing.

7 Q. And the handwriting on the document is yours?

8 A. That's mine, and I was reminded that I needed  
9 to put the hours on there and I hadn't done that, so we  
10 back-calculated the hours.

11 Q. So according to your handwriting on Exhibit 2,  
12 you spent 63 hours writing the Prosima and Prolift report  
13 that you're here to testify about today?

14 A. That's correct.

15 Q. And similarly, you spent about 82 hours  
16 working on the TVT-O report?

17 A. Yes. Yes.

18 Q. And 52 hours on the TVT-R report?

19 A. Yes.

20 Q. And that includes reviewing documents and  
21 writing the report?

22 A. Yes.

23 Q. It includes editing the report?

24 A. Yes.

1 Q. It includes any phone conversations you had  
2 with anyone about your report?

3 A. Anything that went on my fee time sheets, yes.

4 Q. You, as a result of your work in the case,  
5 authored three Rule 26 reports?

6 A. Yes, sir.

7 Q. And one of those related to pelvic organ  
8 prolapse kits made by Ethicon?

9 A. The Prosima Prolift, yes.

10 Q. And the other two related to the TVT-O and the  
11 TVT-R; right?

12 A. Yes, sir.

13 Q. And you're not offering any opinions on any  
14 other products other than those?

15 A. That's correct.

16 Q. Okay. We'll mark this as Exhibit 3.

17 (Whereupon, Exhibit 3 was marked.)

18 BY MR. WALLACE:

19 Q. That's your report for the pelvic organ  
20 prolapse kit?

21 A. Yes, sir.

22 Q. And if you look at the first page, when you  
23 discuss the scope of the report, you've identified the  
24 exact products on which you're offering opinions; right?

1 A. In the report, yes, sir.

2 Q. Okay. If you look at page 8 of the report, I  
3 want to just ask you some general questions. You  
4 discuss -- and this just generally with respect to the  
5 report. You discuss a lot of testing that either Ethicon  
6 did or did not do and your reasons for why it was  
7 necessary and not necessary; right?

8 A. Let me just look at that page.

9 Q. My question has to do with the report  
10 generally.

11 A. That particular page you're speaking of is  
12 under the heading of how medical devices are developed  
13 today, so this section is not specific to the Ethicon  
14 products; this is just a general information outlining my  
15 understanding in my practice of how medical devices are  
16 developed today. That was the intention of this section.

17 Q. To back up then, you discussed testing in your  
18 report; correct?

19 A. In a general way.

20 Q. Well, you actually mentioned specific tests  
21 like that would be called for under ISO 10993; right, just  
22 as an example?

23 A. That's an example. I don't think I went into  
24 detail of the type of testing.

1 Q. Okay. In any event, you did discuss testing  
2 in your report generally?

3 A. Yes.

4 Q. And you talked about different kinds of  
5 testing. In fact, you talked about animal testing.

6 A. Just a minute. I can't recall that was in  
7 here.

8 Q. Look at page 8 under the biocompatibility  
9 paragraph.

10 A. Oh, I said "Testing usually involves  
11 sacrificing animals," yes.

12 Q. And you mean that in the context of developing  
13 medical devices?

14 A. Yes, sir.

15 Q. And even though you say that the section that  
16 you're writing has to do with how medical devices are  
17 developed today, your -- that is true, also, in the past;  
18 that developing medical devices usually involve some form  
19 of animal testing; right?

20 A. Actually, sir, you're taking that a bit out of  
21 context.

22 May I clarify?

23 Q. If you feel like you can't answer the  
24 question, tell me you can't answer the question.

1           A.    I can't answer the question the way you  
2    phrased it because in this section where I'm discussing  
3    animal testing, the sentence before it states, "For  
4    material that has already been thoroughly tested and/or  
5    has a long history of safe clinical use in a predecessor  
6    product, little or no further testing is required.  
7    Testing usually involves sacrificing animals. Ethical  
8    standards caution against unnecessary animal testing."

9           So the reference to animals in this context is  
10   that I'm explaining why we rely on prior history of use  
11   for the material in making a biocompatibility risk  
12   assessment.

13          Q.    Okay. But that wasn't my question. Perhaps  
14   you misunderstood.

15          All I'm saying and asking you is whether or  
16   not, in the development of medical devices, medical device  
17   manufacturers typically rely on animal testing --

18                   MR. DAVIS: Object to form.

19   BY MR. WALLACE:

20          Q.    -- to validate the design of their product?

21          A.    No, sir, I can't say typically.

22          Q.    Sometimes?

23          A.    Sometimes.

24          Q.    Well, in this case, you would agree with me

1 that Ethicon relied on animal testing to support the use  
2 of its PROLENE sutures, which is a predecessor product to  
3 the Prolift device?

4 A. Yes.

5 Q. And when you make the statement, by the way,  
6 about sacrificing animals and that ethical standards  
7 caution against animal testing, why are you saying that?

8 A. It's important to put in perspective the way  
9 biocompatibility risk assessment is done. That's what I  
10 was, essentially, teaching in this paragraph. I was -- if  
11 you read this paragraph, I'm explaining how a  
12 biocompatibility risk assessment is -- is done, and  
13 following ISO Standard 10993, we take time to understand  
14 the prior knowledge about the materials and avoid simply  
15 sacrificing animals for the sake of check-boxing that a  
16 test was done. If it's already been done, we factor that  
17 into the risk assessment.

18 Q. You would agree with me that, even in your  
19 experience and what you've known about medical device  
20 makers, that they use animal testing for -- for many other  
21 reasons than simply validating a biocompatibility test;  
22 right?

23 A. It varies by the device.

24 Q. And you're aware of certain studies that were



1 done on animals with respect to PROLENE?

2 A. I've read a lot of those reports.

3 Q. Okay. Are you aware of any -- so I guess what  
4 I'm trying to figure out, as you say, ethical standards  
5 caution against unnecessary animal testing, are you  
6 saying -- I'm just trying to understand.

7 Are you saying that we shouldn't be  
8 sacrificing animals if at all possible? I'm trying to  
9 understand the "ethical standards" comment.

10 A. Well, I certainly didn't say, "If at all  
11 possible."

12 What I'm trying to say is when you read  
13 ISO 10993 and take it into the context of today's  
14 expectations, here I'm speaking "ethical standards" with a  
15 little "S," not standards like 10993, but even --

16 Q. So what you're saying is a matter of --

17 MR. DAVIS: She wasn't finished.

18 BY MR. WALLACE:

19 Q. Go ahead.

20 A. So particularly in Europe, the expectation is  
21 that we don't run an animal study for the sake of show and  
22 tell, to be elaborate. We do it for a specific purpose  
23 that offsets the sacrifice of that animal. We give due  
24 consideration. For example, there's animal use committees

1 for every protocol, and when we write those protocols, we  
2 have to justify to that animal use committee the value of  
3 the study compared to the sacrifice of the animal.

4 Q. In other words, it's your position that  
5 companies like Ethicon are obligated to make animals a  
6 priority when they're designing a product?

7 A. I didn't say a priority, no, sir.

8 Q. Well, they're supposed to consider the welfare  
9 of the animal before they sacrifice the animal?

10 A. The value that is achieved from the protocol  
11 study design, yes. May I give an example?

12 Q. In other words, we should not necessarily  
13 experiment on animals?

14 A. If I may give an example?

15 Q. Well, can you answer my question?

16 A. Well, I think that's generally accepted, that  
17 we shouldn't unnecessarily, but if I may explain, it's a  
18 part of the protocol and it's a part of the animal use  
19 committee assessment. The authors of animal study  
20 protocols, today's expectations, are that they provide  
21 literature summaries of anything pertinent that's already  
22 been done in the past for that protocol, and they have to  
23 justify that that study is going to provide information  
24 that has not previously been determined. That's more or

1 less a threshold or ethical use of animals.

2 Q. But Ethicon didn't give that same  
3 consideration to women?

4 A. Excuse me?

5 MR. DAVIS: Object to the form.

6 BY MR. WALLACE:

7 Q. Well, where was the -- what did you call it --  
8 the animal use committee, is that what you talked about,  
9 you called it; that there are animal use committees? Did  
10 I use the right term?

11 A. That's a general term for it, different --  
12 IACUC is another name for it.

13 Q. Okay. Where's the women's use committee when  
14 we're talking about putting a Prolift device in a woman?

15 MR. DAVIS: Object to form.

16 A. Every clinical trial in, as far as I know,  
17 most civilized countries in the world, recognize the  
18 Helsinki agreement which is the ethical use of  
19 experimentation, and all clinical trials have to have  
20 informed consent and undergo a protocol review.

21 BY MR. WALLACE:

22 Q. And how many clinical trials were done before  
23 this -- the products that you've talked about in Exhibit 3  
24 were released to the market?

1           A.    I would have to check my notes.  Would you  
2   want me to look at that?

3           Q.    Well, you should know that.  Don't you know  
4   that?  Do you know if any were done?

5                         MR. DAVIS:  Object to the form.

6           A.    Sir, I have looked at three different types of  
7   products.  Would you care to say which product you're  
8   speaking of?

9   BY MR. WALLACE:

10          Q.    Any of those that are identified in the -- in  
11   the exhibit that's in front of you.  Feel free to look at  
12   your report.

13          A.    Well, first off, the scope of my report didn't  
14   include the review of all of the clinical trials, but I do  
15   know that there were clinical evaluation reports conducted  
16   on all three of these products, and in those clinical  
17   evaluation reports, there are literature summaries and  
18   also summaries of experiences for the clinical studies  
19   that were conducted, and they're organized and produced in  
20   those clinical evaluation or clinical expert reports, as  
21   they were called variously.

22          Q.    That's not answering my question.  You still  
23   haven't pointed me to one clinical trial that was done  
24   prior to launch of any of the products.

1 MR. DAVIS: Object to the form. Asked  
2 and answered.

3 A. I can point you to them if you want me to go  
4 to my books and look them up.

5 BY MR. WALLACE:

6 Q. So sitting here right now -- you can do that  
7 on a break.

8 Sitting here right now, you don't know, at  
9 all, if there were any clinical trials that were done  
10 prior to the release of any of the products that are  
11 identified in Exhibit 3?

12 MR. DAVIS: Object to form, and this is  
13 not a memory test; she's entitled to refer if she needs  
14 to.

15 A. Sir, I can recall that there were clinical  
16 trials, even for the TVT-R and --

17 BY MR. WALLACE:

18 Q. We're here -- we're not -- let me just make  
19 something really clear. We're here to answer my  
20 questions, and we're talking about Exhibit 3. TVT-R is  
21 not included in Exhibit 3. We're here to talk about the  
22 Prolift and Prosima; okay? Let's look at your report just  
23 to orient you because I don't want to confuse you; okay?

24 You're looking at the Gynemesh PS, Prolift,

1 Prolift+M and Prosima; okay? You will, later on, be asked  
2 questions about the TVT-O and the TVT-R, but not now;  
3 okay?

4 A. Okay.

5 Q. Just to be clear and so you're not confused,  
6 I'm asking you very simply whether or not you know if any  
7 clinical trials were done with respect to those products  
8 before they were put on the market?

9 A. My answer is, I would have to consult the  
10 notes for these devices because there were various phases  
11 of these devices. What I've covered in this report goes  
12 back to the PROLENE Soft Mesh, the Gynemesh PS, Prolift,  
13 Prolift M and Prosima, and it is my recall that the  
14 clinical evaluation reports included listings of clinical  
15 data from clinical reports. I cannot recall each one of  
16 those.

17 Q. And you're not sure whether or not they were  
18 actually clinical trials; right?

19 A. Until I check my notes, I would be, you know,  
20 concerned that I might be guessing, and I don't want to  
21 guess.

22 Q. Do you believe that the PROLENE Soft Mesh, in  
23 and of itself, is one of the kits that you're here to  
24 testify about?

1 A. One of the kits?

2 Q. (Witness nodding head.)

3 A. PROLENE Soft Mesh, per se, was not put out as  
4 a kit, as far as I recall.

5 Q. Do you know if the Gynemesh PS is put out as a  
6 kit?

7 A. I would have to check my notes on the  
8 Gynemesh PS, but my recall is that that was before the  
9 kits, but I'm not certain. I'd have to check. There's a  
10 lot of products here.

11 Q. With respect to the Prolift and the Prolift+M,  
12 you would agree with me that those are kits; right?

13 A. The Prolift, Prolift M and Prosima were what  
14 some people refer to as kits because they included  
15 instrumentation.

16 Q. If you look at page 13 and 14 of your report,  
17 just going to give you a few examples where it shows up.  
18 On page 13 under, "Development of PROLENE Soft Mesh," if  
19 you look at the last sentence, it says, "Ethicon's design  
20 history file and CE Mark files for PROLENE Soft Mesh are  
21 very thorough."

22 Do you see that statement?

23 A. Yes.

24 Q. You make a similar statement right below that

1 with respect to the Gynemesh PS where you say, "Ethicon's  
2 design history file and CE Mark files for Gynemesh PS are  
3 very thorough."

4 Do you see that?

5 A. Yes.

6 Q. You say the same thing with respect to the  
7 Prolift and the Prolift+M, and I believe you also say that  
8 for the Prosima.

9 What I'm trying to understand is what products  
10 are you actually here to testify about?

11 A. These products that you just listed, as  
12 they're written in my report, within -- within the scope  
13 of my report, which was the design review, the  
14 documentation in the technical files and the quality  
15 standards that went into the development of that  
16 documentation.

17 Q. Why did you -- do you know anyone that's  
18 bringing a claim in this litigation in WAVE 1 relating to  
19 PROLENE Soft Mesh?

20 A. I don't know anyone. Are you speaking of me  
21 personally? I mean, I know the names.

22 Q. I'm wondering whether or not you were asked to  
23 give an opinion on PROLENE soft mesh?

24 A. Have I been asked to give an opinion of



1 PROLENE soft mesh? Yes, it's right here.

2 Q. Who asked you to do that?

3 A. Butler Snow hired me to do an expert review of  
4 the documentation. I'm not sure I understand your  
5 question.

6 MR. DAVIS: Can I say something? It may  
7 or may not help. Frankly, she doesn't know which case  
8 she's been designated in. My understanding is, if you're  
9 worried about whether she's planning to testify in like a  
10 Gynemesh PS case, we have not designated her for those  
11 cases, no.

12 THE WITNESS: That's all I know.

13 MR. WALLACE: I am not here, just so you  
14 understand, under the agreement. I'm not here to take a  
15 deposition with respect to anybody that's brought a,  
16 quote/unquote, a "PROLENE soft mesh claim" or a "Gynemesh  
17 PS claim," and I can tell you that you haven't been  
18 designated in any Prosima cases.

19 So I just -- and we can agree to disagree or  
20 agree, it doesn't matter to me, Paul, but I'm here to take  
21 a deposition today based upon the cases in which you've  
22 been designated in the WAVE 1; okay?

23 And so what I'm asking you is whether or not  
24 you know, as you sit here today, whether or not you've

1     been designated as an expert or asked to, as an expert by  
2     Ethicon, to testify in any WAVE 1 cases where the claim is  
3     based upon either PROLENE soft mesh, Gynemesh PS or  
4     Prosima? Because I don't see any cases in WAVE 1 in which  
5     you've been designated in that regard.

6                     MR. DAVIS: She -- I can state she's not  
7     going to know which cases she's been designated in, but  
8     the record speaks for itself.

9     BY MR. WALLACE:

10            Q. Well, and just so we're clear, I'm not here to  
11     take a deposition on behalf of clients who aren't bringing  
12     those claims. I'm here to take a deposition -- so I don't  
13     want this later coming back that because you put in a  
14     paragraph at page 13 about the PROLENE soft mesh and  
15     discussed it in your report that somehow we're precluded  
16     from taking an additional deposition of you. That's my  
17     point.

18            A. Well, if I may, sir, it would seem to me to be  
19     self-evident that I was designated as an expert for the  
20     scope of the products I have in the report. PROLENE soft  
21     mesh is the key implant component for Prolift and Prosima;  
22     therefore, I had to evaluate that material in order to  
23     produce this report. That's my best answer.

24            Q. So if I hear you correctly -- and I want to --

1 and I appreciate you being polite, and I'm going to be  
2 polite about it, as well.

3 What I hear you saying is because you believe  
4 that the PROLENE soft mesh is a component or predecessor  
5 product in a way that you necessarily had to evaluate it  
6 to evaluate the safety of, for example, the Prolift  
7 devices?

8 A. I had to evaluate the documentation for the  
9 risk management, for the design control and review, for  
10 the general development documentation in the design  
11 history file and the technical files, which included the  
12 CERS.

13 MR. DAVIS: Okay. Try to say your "yes"  
14 first.

15 THE WITNESS: Okay.

16 BY MR. WALLACE:

17 Q. That's exactly what I was just going to say.

18 A. Okay.

19 Q. We don't want to be here all day.

20 A. Okay.

21 Q. And I know you don't, either.

22 A. No.

23 Q. You want to get home or wherever you're going  
24 to go, so if you could just try to answer my question

1 first. If you really feel an explanation is necessary,  
2 I'm going to try to be accommodating to you.

3 A. Okay.

4 Q. But not unnecessarily so.

5 A. Thank you.

6 Q. Fair enough?

7 A. Thank you.

8 Q. Okay. And let's just go back to the  
9 statements that I pointed out where you said the design  
10 history file and CE Mark files were very thorough.

11 With respect to all the products -- let's be  
12 more specific. With respect to the Prolift and the  
13 Prolift+M, did you review the entire design history files  
14 for both?

15 A. I reviewed the documents that I was given,  
16 yes, sir.

17 Q. Okay. But did you review the entire design  
18 history file for the Prolift and the Prolift+M?

19 A. Yes, sir. To the extent I was given the  
20 documents, yes, sir.

21 Q. You don't know whether you were or not?

22 A. I'm just trying to explain; I had a full  
23 download of the documents, and all of the documents were  
24 reviewed, yes, sir.

1 Q. Let's -- let's go at this, maybe, a different  
2 way.

3 With respect to your opinions in this report,  
4 is it fair to say that you reviewed enough documents in  
5 your mind to satisfy yourself that the company considered  
6 the design risk for the products?

7 A. Yes, sir.

8 Q. And in fact, that was one of the criteria that  
9 you had that you had to satisfy yourself that the company  
10 had considered the risk of the Prolift and the Prolift+M  
11 and the Prosima; right?

12 A. Yes, sir.

13 Q. And the company even had to consider what  
14 would be crippling, life-altering risk that might come  
15 with the implant of those products; right?

16 A. I had to review if they had done that, yes,  
17 sir.

18 Q. And you satisfied yourself that the company  
19 had, in fact, considered life-altering risks that came  
20 with the implant of the product; right?

21 A. Yes, sir.

22 Q. And in your opinion, the company considered  
23 that, as you say, very thoroughly?

24 A. Yes, sir.

1 Q. Let me ask you some basic questions about  
2 design in general, and you can tell me whether or not you  
3 believe it applies to Ethicon when it made these devices  
4 that are at issue in Exhibit 3.

5 If a company knows that a patient has certain  
6 comorbidities that may affect the function of the device,  
7 a responsible medical device manufacturer has to consider  
8 those comorbidities in its design; right?

9 MR. DAVIS: Object to the form.

10 A. Yes, sir, if I may explain.

11 BY MR. WALLACE:

12 Q. Go ahead.

13 A. Often, in the beginning of a design, a company  
14 may not know the impact of the comorbidity, so over time,  
15 as clinical use expands to different countries or  
16 different populations or different users, and more data  
17 can be gained, the impact of comorbidities can become more  
18 apparent. So during development, sometimes the impact is  
19 not known.

20 Q. Well, based upon the documents that you  
21 reviewed and what I'll call good, old-fashioned common  
22 sense that I hope we both have, you would agree with me  
23 that Ethicon knew that women would have the possibility of  
24 multiple vaginal deliveries before they were implanted

1 with the Prolift device; right?

2 MR. DAVIS: Object to form.

3 A. Sir, yes, I understood from the labeling that  
4 that was a warning that they had not evaluated that  
5 sufficiently.

6 BY MR. WALLACE:

7 Q. I'm not asking that. We're going to be here  
8 all day. I'm just asking you basic questions.

9 Did Ethicon know or not know; yes or no?

10 A. Know what, excuse me? I don't --

11 Q. That they were going to have -- and I'm trying  
12 to use some common sense here so we can get through this.

13 A. Okay.

14 Q. They knew -- Ethicon knew that women could  
15 possibly have multiple vaginal deliveries before they were  
16 implanted with the Prolift or Prolift+M device; right?

17 A. It is not a yes-or-no answer, sir.

18 Q. It is a yes-or-no answer.

19 A. No, sir.

20 Q. All right. Let's go off the record for a  
21 second.

22 (Discussion held off the record.)

23 BY MR. WALLACE:

24 Q. We're back on the record.

1                   Did Ethicon know that women that might receive  
2    their product, products that are identified in Exhibit 3,  
3    might have had vaginal -- multiple vaginal deliveries  
4    before those devices were implanted?

5                   A.    I would say yes, they knew they might, which  
6    is different from your other question.

7                   Q.    Did Ethicon know that some women might -- that  
8    might receive this device would be obese?

9                   A.    I don't recall seeing any information about  
10   obesity, so I can't say if they knew that or not.

11                  Q.    You saw no information, at all, in the  
12   thousands of documents you allegedly read that discuss  
13   Ethicon's state of knowledge with respect to whether or  
14   not they knew that women, certain women, could be obese  
15   that would receive this product?

16                  A.    My answer is no, I do not recall that.

17                  Q.    Do you know whether or not Ethicon knew that  
18   certain women would have had prior pelvic surgeries or  
19   pelvic trauma?

20                  A.    Yes, sir, I know that they knew that from my  
21   reading, yes, sir.

22                  Q.    Did you know that Ethicon knew that certain  
23   women, before they received the implant of the device,  
24   would have a loss of muscle tone in the area where the



1 product was going to be implanted?

2 A. No, sir, I cannot recall if they knew that.

3 Q. Do you know that the disease itself, pelvic  
4 organ prolapse, has, in part, to do with muscle tone?

5 A. Yes, sir, I know that, but when you asked the  
6 previous question about muscle tone, I was not thinking in  
7 the general context of muscle tone as much as I was  
8 thinking of athleticism. So yes, pelvic floor prolapse is  
9 an internal muscle issue, yes. I knew that.

10 Q. And with respect to all of the things that I  
11 just listed; multiple vaginal deliveries, pelvic surgery  
12 and pelvic trauma, obesity and loss of muscle tone, would  
13 you agree with me that those are commonly described as  
14 comorbidities?

15 A. No, sir, I can't agree with that because I'm  
16 not certain in my own mind that they were listed as  
17 comorbidities.

18 Q. Do you agree with me that a company making a  
19 pelvic organ prolapse medical device should consider those  
20 issues and incorporate them into the design, if possible?

21 MR. DAVIS: Object to the form.

22 A. May I correct something I've said previously?

23 BY MR. WALLACE:

24 Q. If that answers my question, go ahead.

1 A. Just give me a second.

2 The multiple vaginal births, you've mentioned  
3 those. When you asked me that question, I was thinking in  
4 terms of births after they received the mesh, not before  
5 the mesh. So that's what I am trying to clarify in my  
6 mind with respect to the question had they, not -- not  
7 would they after. When you said it, you said "would  
8 they," and I was thinking in terms of that's perfect --  
9 that's a future perfect verb, so I was thinking of in  
10 terms of would they have those births after they got the  
11 mesh.

12 Now that you put it in the context of the  
13 comorbidity question, I understand you were asking would  
14 those women have had, previous to their surgery, multiple  
15 vaginal births, and now I understand your question.

16 Q. Okay. And just to be clear, I said earlier,  
17 prior to the implant. So all of these things, like prior  
18 pelvic surgery --

19 A. The first time you asked it --

20 Q. Let me finish my -- we're going to step all  
21 over each other's toes if you keep doing that.

22 A. Sorry.

23 Q. My point is, all of these things, women were  
24 going to go -- did Ethicon know that women were going to

1 be obese, have prior pelvic surgeries and multiple vaginal  
2 deliveries and prior pelvic trauma prior to the implant?  
3 That's what I was getting at.

4 Now, with that in mind, do you agree with me  
5 that a responsible device manufacturer should take those  
6 prior conditions or events into account when designing the  
7 product?

8 A. Yes.

9 Q. What documents, when you say that their design  
10 was thorough, what documents or evidence do you have to  
11 show me that Ethicon considered those events or issues  
12 that I've just described?

13 A. I brought these along. Would you like for me  
14 to --

15 Q. If you need to consult them, go ahead.

16 A. Okay. Just a minute.

17 Q. I'm sorry, go ahead.

18 MR. DAVIS: She wants me to pull up the  
19 complete document.

20 A. Because of their size, we didn't print the  
21 full documents for both of these, but this is my footnote  
22 for the Prolift and for the technical documentation --  
23 design development, I should say, documentation, and then  
24 this is the technical file document.

1 BY MR. WALLACE:

2 Q. And you think that, with respect to the  
3 Prolift file, that the documents you're showing me would  
4 demonstrate that Ethicon considered the multiple vaginal  
5 deliveries, the prior pelvic surgery, the pelvic trauma in  
6 its design and addressed it if at all possible?

7 A. If it -- if the documents aren't specifically  
8 in this document, they're referenced by this document.

9 Q. Okay. And why don't we, to be safe, I'm going  
10 to give the court reporter the Bates Number and I'm going  
11 to ask her to mark those.

12 So the first document that you've given me is  
13 called "Gynecare Product Description Document." It says  
14 page 1 of 11, although I only have one page, and it's  
15 ETH-03261; right?

16 MR. DAVIS: Can I see that?

17 BY MR. WALLACE:

18 Q. And the second page that you've given is the  
19 "Gynecare Prolift Pelvic Floor Repair Systems CE Mark  
20 Technical File" dated September 21, 2008,  
21 ETH.MESH.06402274; correct?

22 A. Yes.

23 MR. DAVIS: But Ed, let me correct one  
24 thing. You said that -- I think you said this document is

1 11 pages. The document on my computer is 4,169 pages.

2 MR. WALLACE: All I'm saying is on the  
3 right-hand column of the document, it says page 1 of 11.

4 I'm not representing to you something that I can't see.

5 I'm identifying the document for the record.

6 THE WITNESS: Okay. So that's not the  
7 full size of the document.

8 BY MR. WALLACE:

9 Q. So you're pointing me to the Prolift technical  
10 file; right?

11 A. The second one is the Prolift technical file.

12 Q. And what else are you pointing to me that's  
13 4,000 pages long?

14 A. Actually, you'll see if you look at that first  
15 page --

16 Q. Let me ask the question.

17 A. Yes.

18 MR. DAVIS: She said it was Footnote 21,  
19 I think.

20 MR. WALLACE: Okay.

21 A. Yes, and this number, right there at the  
22 bottom, the ETH number, in my footnote is 950, not 951,  
23 and so the first page was not printed, and that's probably  
24 why you're not seeing the full size of the document.

1 BY MR. WALLACE:

2 Q. In the interest of not belaboring the point,  
3 the bottom line is you're telling me that in Footnote 21  
4 in the document of which you don't have a full copy of,  
5 Footnote 21, Ethicon considered the -- Ethicon considered  
6 the issues that I raised earlier, which included multiple  
7 vaginal deliveries, prior pelvic surgery and the like;  
8 correct?

9 A. That one, plus the other one that you -- this  
10 one plus this is the technical file on the same topic, and  
11 this is --

12 Q. Can we mark this?

13 A. Uh-huh (affirmative).

14 (Whereupon, Exhibit 4 was marked.)

15 THE WITNESS: It's actually Footnote 22  
16 because this one was with the Prolift M.

17 MR. WALLACE: Can you mark that one, as  
18 well?

19 (Whereupon, Exhibit 5 was marked.)

20 BY MR. WALLACE:

21 Q. Can I dumb this down for me?

22 What you're telling me is that in Footnote

23 22 --

24 A. 21 and 22.

1           Q.    -- Footnote 21 and 22, Ethicon considered the  
2    issues that the women had prior to the implant; correct?

3           A.    As I said previously, yes, they are in this  
4    document or referenced by this document. They may not be  
5    included in this document per se, but in this document,  
6    they have referenced it.

7           Q.    Okay. In other words, even though they only  
8    may be referencing the issue, in fact, you believe that  
9    Ethicon considered those issues and incorporated them into  
10   the design-planning process of the Prolift, Prolift+M and  
11   Prosima; right?

12          A.    Yes, sir, I do.

13          Q.    Thank you. And for example -- let me just  
14   move on.

15                If you're implanting a medical device in a  
16   space that needs to be elastic, like the vaginal space,  
17   you want to figure that out in the design phase; right?

18          A.    Elastic? Can you elaborate? I didn't  
19   understand.

20          Q.    Expand. You would agree with me that the  
21   vaginal space is a dynamic space that is not static; in  
22   fact, it expands and contracts?

23          A.    Sir, I can't agree with you. I don't have  
24   expertise in that area.

1 Q. Okay. Let's assume that I'm correct then.

2 You're an expert designated to testify, so I'm going to  
3 use a hypothetical with you; okay?

4 Let's assume that I'm correct -- let me back  
5 up for a second.

6 You don't know whether or not the vaginal  
7 space expands and contracts?

8 A. No, sir, I was not saying that. I was saying  
9 no to your previous question.

10 Q. Okay. Let me ask you this.

11 Do you know whether or not the vaginal space  
12 expands and contracts?

13 A. Yes, sir.

14 Q. And you would agree with me that that would  
15 include the area in which these devices are implanted?

16 A. I cannot answer that question because it's --  
17 there's a premise there that I would disagree with.

18 Q. Tell me what you disagree with.

19 A. I don't believe these materials are implanted  
20 in the vaginal space. It's not inside the vagina.

21 Q. Do you believe that they're implanted in an  
22 area that requires elasticity?

23 A. I do not know that.

24 Q. Okay. Have you reviewed the instructions for



1 use in this case?

2 A. Yes, I have.

3 Q. And you would agree with me, then, that it  
4 talks about bidirectional elasticity as one of the  
5 benefits of the devices; correct?

6 A. As -- excuse me for -- I'm trying to say yes  
7 or no, but I can't, because my understanding, again, is  
8 that that doesn't require the mesh to be elastic; it's  
9 part of the surgical procedure that allows the material to  
10 be elastic.

11 Oh, were you speaking of Prolift M? You got  
12 that file out just now. See, your -- you confused me with  
13 these questions because you're not specific to the  
14 products.

15 Q. There's three products at issue; the Prolift,  
16 the Prolift M and Prosima.

17 A. Yes, and they're not the same type of  
18 materials, so you have to be specific, please, when you  
19 ask me a question.

20 Which one are we speaking of?

21 Q. I'll be as specific as I need to be.

22 A. All right.

23 Q. And if you're confused by the question, I'd  
24 like you to tell me that you are.

1 A. I did.

2 Q. So you, regardless of whether it's the  
3 Prolift, the Prolift+M or the Prosima, do you believe that  
4 Ethicon thought the elasticity of those devices was  
5 important to their function?

6 MR. DAVIS: Object to the form.

7 A. Sir, I cannot answer that question.

8 BY MR. WALLACE:

9 Q. Why not?

10 A. Because I do not know what they believed at  
11 the time.

12 Q. Well, you've reviewed documents that speak to  
13 elasticity; right?

14 A. Yes, with respect to the Prolift M, that  
15 material changed its properties over time.

16 Q. Well --

17 A. Is that what you mean by elastic? Could you  
18 show me in the labeling what you're talking about because  
19 I don't know. Elastic means a lot of things to different  
20 people. What specific things are you speaking of?

21 Q. Do you believe that any of these -- the three  
22 devices that we're talking about -- the Prolift, the  
23 Prolift+M or the Prosima -- needed to be flexible at all  
24 in the human body?

1           A.    Yes, sir, now you've used the word "flexible,"  
2   and "elastic" and "flexible" are different for me.

3           Q.    Can you just answer my question?

4           A.    Sir, I just said yes.

5           Q.    My name is Ed.  You don't need to call me  
6   "sir."  I appreciate the courtesy, but the bottom line  
7   is -- let me just ask the question and you answer, okay,  
8   as you need to.  Let's stay on the question that I asked.

9                   Do you believe that Ethicon thought it  
10   important that the Prolift, the Prolift+M and the Proxima  
11   be flexible inside the human body; yes or no?

12          A.    Flexible during delivery and placement, yes.

13          Q.    What about afterwards?

14          A.    The mesh is ingrown and will not remain  
15   flexible, so they would not have believed it should stay  
16   flexible or elastic over time, but it needs to be that for  
17   presentation.

18          Q.    In other words, you believe that once the  
19   tissue ingrowth occurred, there was no more reason for the  
20   mesh to be flexible according to Ethicon; right?

21          A.    Sir, when you added "according to Ethicon," I  
22   cannot recall specifically how they expressed it, but it  
23   is my understanding that when the mesh is ingrown, the  
24   physical properties change and flexibility is no longer an

1 attribute of concern.

2 Q. And it's your understanding that if the mesh  
3 is stiff after ingrowth, it is not a concern; right?

4 A. I would say I don't know how to answer your  
5 question. They attempted to design Prolift M as an  
6 alternative, and I do not have knowledge to tell you from  
7 a biomaterials point of view if that was successful. It's  
8 not my expertise.

9 Q. Do you think that you have an obligation as an  
10 expert that is testifying in this case to have an  
11 understanding of what design attributes Ethicon thought  
12 were relevant?

13 A. Yes, sir, I can tell you that looking at the  
14 reports, if you would like for me to go back to those  
15 reports. We're talking about three different products  
16 here, and so if you want to speak to each one of those, I  
17 will try to answer each one of them. They had different  
18 attributes, particularly Prolift M, that they were trying  
19 to design for. Prolift M had a portion that was  
20 absorbable, which changed its properties after  
21 implantation. So when you try to put all three of these  
22 products together, I have a hard time trying to answer one  
23 question yes or no.

24 MR. WALLACE: Let's go off for two

1 minutes.

2 (Whereupon, a recess was taken from  
3 11:13 a.m. to 11:19 a.m.)

4 BY MR. WALLACE:

5 Q. You want to clarify an answer?

6 A. Yes.

7 Q. Go ahead.

8 A. Previously we were talking about "elastic"  
9 versus "flexible," two different words. In the  
10 development of the Soft PROLENE Mesh, the team sought for  
11 Soft PROLENE Mesh, for it to be more flexible than the  
12 prior PROLENE mesh, and that was -- so yes is the answer  
13 to the "flexible" question.

14 Also, then, these two footnotes, I already  
15 gave you 22, but additional Footnote 23 covers the  
16 Prolift M development, which was the portion that is  
17 absorbable, that changes its properties after implant;  
18 okay? That's what I was trying to clarify.

19 Q. Thank you for clarifying that, and if I  
20 understand you correctly, you've now pointed to  
21 Footnotes 21, 22 and 23 --

22 A. Yes, sir.

23 Q. -- as the evidence that you looked at and  
24 reviewed and concluded that Ethicon considered the issues

1 that women had prior to implant, which included, for  
2 example, prior vaginal deliveries, prior pelvic surgery  
3 and the like; correct?

4 A. That's correct.

5 Q. Okay. And it would be that -- and I would  
6 assume that it would be the same thing for a hysterectomy;  
7 that you would point to the same footnotes that Ethicon  
8 considered that some of the women that would be receiving  
9 this device would be -- either have had a hysterectomy or  
10 would be concurrently receiving a hysterectomy with the  
11 implant of the device?

12 A. I believe that was considered, yes.

13 Q. And it was considered in the design, and you  
14 would point me to your report and, more specifically, you  
15 believe, as you sit here today, that it's included in  
16 those three footnotes?

17 A. But it was also included in CER reports and --  
18 that came subsequent, and I believe this particular  
19 reference is an early technical file, Number 22.

20 Q. And you've pointed out correctly that the  
21 Prosima+M (sic) has a partially absorbable mesh?

22 A. Yes, sir.

23 Q. So you would agree with me the three  
24 devices -- the Prolift, the Prolift+M and the Prosima --

1 are all different devices?

2 A. No, sir, they're not altogether totally  
3 different.

4 Q. I didn't say altogether totally different.  
5 They may share some similarities; correct?

6 A. They share similarities.

7 Q. They all are designed to treat pelvic organ  
8 prolapse?

9 A. Yes, sir.

10 Q. But at the end of the day, they were all  
11 cleared as different products?

12 A. They were cleared separately, yes.

13 Q. Okay. And you would agree with me that  
14 they're different devices?

15 A. They're different kits.

16 Q. And they're -- they use different materials,  
17 for example?

18 A. Well, the Prolift M is different, but the  
19 other -- others are the same.

20 Q. You believe that the Prosima is identical to  
21 the Prolift?

22 A. The mesh is identical with the exception of  
23 the manufacturing modifications.

24 Q. Do you believe -- you would agree with me that

1 those three devices present different risk?

2 A. I would agree that they present different  
3 risks from the different surgeries, yes.

4 Q. And you would agree with me that they are  
5 different in the sense that their properties change over  
6 time?

7 A. I have difficulty with the underlying premise  
8 of that question. I can't answer it.

9 Q. Let me ask it a different way.  
10 The Prolift+M is different materially from the  
11 Prolift; correct?

12 A. It has a -- a single different material added.

13 Q. And you would agree with me that, because of  
14 that, the varying properties change differently over time  
15 between the Prolift+M and the Prolift?

16 A. Could you rephrase that? I'm sorry, I  
17 couldn't hear you.

18 MR. WALLACE: Could you read it back,  
19 please?

20 (The record was read back.)

21 A. Prolift+M varies from the others, yes.

22 BY MR. WALLACE:

23 Q. Okay. The -- let's move on.

24 You would agree with me that the instructions



1 for use is designed to communicate with an implanting  
2 physician; right?

3 A. Yes, sir.

4 Q. And one of the things that the IFU is designed  
5 to do is to make physicians aware of certain complications  
6 that might come with the implant of the device, whether  
7 through surgery or long-term; right?

8 A. Yes, sir.

9 Q. So the company uses the instructions for use  
10 to warn of risk?

11 A. That's one.

12 Q. And you would agree with me that if Ethicon,  
13 for example, knew about certain risks that came with the  
14 device before launch, that -- that they should put it on  
15 the instructions for use?

16 MR. DAVIS: Object to the form.

17 A. If they knew -- I'm just rephrasing that  
18 question.

19 If they knew in advance of certain risks, they  
20 should put it on the instructions, yes.

21 BY MR. WALLACE:

22 Q. Okay. And you would agree with me that they  
23 should do that before the product is released to the  
24 market if they hadn't -- (inaudible); right?

1           A.    You dropped your voice.  I couldn't -- can  
2    you --

3           Q.    I'll speak up for you.  Why don't I withdraw  
4    the question.  I'll ask it again.

5           A.    Okay.

6           Q.    Actually, let's do something different.  Why  
7    don't you take a peek at this next exhibit?

8                               (Whereupon, Exhibit 6 was marked.)

9    BY MR. WALLACE:

10          Q.    You've seen Exhibit 6 before; right?

11          A.    It's familiar, but I need to refresh on it.  
12    Is that all right?

13          Q.    Go ahead.

14                       MR. DAVIS:  You don't have an extra  
15    copy; do you?

16                       MR. WALLACE:  I thought I gave you one  
17    (handing).

18                       MR. DAVIS:  Thanks.

19    BY MR. WALLACE:

20          Q.    I have some very specific questions about that  
21    document, Ms. Duncan.

22          A.    Okay.

23          Q.    One is, you've seen this before?

24          A.    Yes.

1 Q. And you would agree with me that it is an  
2 early design concept document that was created by Ethicon  
3 with respect to its -- what became its Prolift devices;  
4 right?

5 A. Yes.

6 Q. And would you agree with me that it  
7 discusses -- it discusses some of the concepts and ideas  
8 that the company had about these devices?

9 A. It appears so, yes, sir.

10 Q. And this is a -- a document that's commonly  
11 prepared at the beginning of the design of the document;  
12 right?

13 A. It is, yes.

14 Q. And it lays out the company's vision for what  
15 it wants to achieve and the market that it wants to  
16 address; right?

17 MR. DAVIS: Object to the form.

18 A. At an early feasibility level; correct.

19 BY MR. WALLACE:

20 Q. So in other words, it might look at what it  
21 believes the unmet needs are in the market; right?

22 A. Typically. I don't -- I haven't had a chance  
23 to confirm that this does, yes, but go ahead.

24 Q. You would agree with me that it can,

1 oftentimes, in the concept phase, which is addressed in  
2 Exhibit 6 also address an early idea what the financial  
3 commitment of the company might be to the project?

4 A. Often at this level, yes.

5 Q. All right. And you would agree with me that  
6 you do see that Ethicon in that document, Exhibit 6,  
7 discussed what it believed were the unmet needs in the  
8 market?

9 A. Yes, sir, it does.

10 Q. And it has some early design drawings; right?

11 A. Yes.

12 Q. And you would agree with me that the document  
13 is dated June 27, 2003, which is before the launch of the  
14 devices, the Prolift devices that we're talking about  
15 today; right?

16 A. Sir, I don't recall the date of the launch.  
17 I'll take your word for it.

18 Q. Look at page 6 of the document, the unmet  
19 needs.

20 A. Yes, sir, I'm looking at that.

21 Q. And you'll see that the company expressed an  
22 unmet need that they wanted to come up with a standardized  
23 procedure which had a consistently low complication rate.

24 A. Yes, sir.

1 Q. Do you see that?

2 A. Yes.

3 Q. And you would agree with me that that was a  
4 goal of the company; right?

5 A. Yes, sir.

6 Q. Would you please look at page 9? You'll see a  
7 diagram on page 9, which talks about the concept  
8 progression.

9 Do you see that?

10 A. Yes.

11 Q. And you would agree with me that that  
12 generally describes how Ethicon was going to come up with  
13 the concept; in other words, they were going to work with  
14 key opinion leaders in France to come up with the  
15 feasibility of the Prolift, which would ultimately result  
16 in the design of the product?

17 A. That's my recall, as well, from reading, yes.

18 Q. And you actually have seen other documents  
19 that tell that story; right?

20 A. Yes, sir.

21 Q. If you look at page 16, it describes the  
22 anterior TVM procedure.

23 A. 16?

24 Q. 16, please. And at the bottom, it talks about

1 some of the advantages that the company would like to have  
2 with the anterior TVM procedure.

3 Do you see that?

4 A. Yes.

5 Q. And just to take a step back for a moment to  
6 orient ourselves with respect to the Prolift device,  
7 there's the anterior, posterior and total Prolift devices;  
8 right?

9 A. Yes.

10 Q. And you know by looking at this document that  
11 the company was considering, already, the anterior in  
12 2003, and that's what this page 16 we're looking at?

13 A. That's what we're looking at, yes, sir.

14 Q. So we are on the same page?

15 A. Yes, sir.

16 Q. And one of the things they wanted with the  
17 anterior was to promote a consistent repair and reduce  
18 operative time; correct?

19 A. Yes.

20 Q. That was a goal of the company?

21 A. It says the advantages of this approach would  
22 be to do that.

23 Q. In other words, if the anterior was developed,  
24 that's an advantage that they wanted the anterior Prolift

1 to have; correct?

2 A. That would be an advantage if they followed  
3 this path, yes.

4 Q. Look at page 31 which lists manpower.

5 Do you know, by looking at page 31 and also  
6 all the thousands of pages of documents that you've looked  
7 at, that Scott Ciarrocca was the project leader for the  
8 Prolift device?

9 Do you see his name there?

10 A. Yes.

11 Q. And it's common in the concept design phase to  
12 list who might be on the team that's ultimately going to  
13 develop this device in the company; right?

14 A. I believe, sir, this is referring only to the  
15 feasibility phase.

16 Q. Correct. But you would also agree with me  
17 that Scott Ciarrocca became the project leader for the  
18 Prolift; right?

19 A. I recall that from my reading.

20 Q. If you look at the next page, the concept that  
21 Ethicon expressed in 2003 was that this product would be,  
22 from the feasibility stage to the product launch phase,  
23 take about one year?

24 A. Yes.

1 Q. And in order to come up with the idea that  
2 this was going to be launched in one year, the company had  
3 to make certain assumptions about the project; right?

4 A. That's typical. I don't know what their  
5 assumptions were off the top of my head, yes.

6 Q. Well, why don't -- you've actually,  
7 fortuitously, flipped right to the page I was going to  
8 direct you to.

9 A. Okay. Thank you.

10 Q. Page 34.

11 A. Okay.

12 Q. You would agree with me that the company  
13 listed, on page 34 of Exhibit 6, its critical assumptions  
14 about the project; right?

15 A. Yes.

16 Q. And the top two were that, one, the device was  
17 going to be cleared through the 510(k) system; right?

18 A. Yes.

19 Q. And that ultimately happened?

20 A. Yes.

21 Q. And that the devices would be CE marked at  
22 initial offering, and that actually happened?

23 A. It's my recall, yes.

24 Q. And the third thing is that there would be



1 clinical trial of implants with six-month follow-up would  
2 be sufficient to support a launch; correct?

3 A. That's what they have here, yes.

4 Q. Okay. Do you know, as you sit here today,  
5 whether or not that actually happened?

6 A. I'm going to try to recall, but I would like  
7 the opportunity to check my notes on this. It's my recall  
8 that -- you see, we've got three different products here,  
9 so my recall, that one of these did not go through a  
10 conventional clinical trial but relied on the clinical  
11 history from the French physicians. That's my recall.

12 Q. And the assumptions that were being made were  
13 about the project and how this was going to hit the  
14 market; right?

15 A. This is the assumptions in that plan, yes.

16 Q. And if you look at the next page, the critical  
17 assumptions that were being made were about the  
18 performance of the product itself. In fact, it says that  
19 at the top of the page; right?

20 A. Yes.

21 Q. And so that's what the company was considering  
22 were critical about how the product was going to perform?

23 A. Right.

24 Q. And there are six bullet points that the

1 company considered critical about the products'  
2 performance; right?

3 A. It's -- it's what it says here, yes.

4 Q. And looking at the bullet point, it says,  
5 "Creates no additional problems possible with needle  
6 passage through obturator foramen."

7 A. "That's what it says, yes.

8 Q. In other words, the company found it critical  
9 with respect to the product that there would be no  
10 additional problems possible with needle -- needle passage  
11 through that space?

12 A. Yes, sir.

13 Q. The transobturator space?

14 A. Yes.

15 Q. And you believe that the company considered  
16 and addressed that critical assumption; right?

17 A. Yes. Yes.

18 Q. And that's your opinion that you're stating as  
19 an expert in this case?

20 A. I believe they did, yes.

21 Q. And the next bullet point says, "Creates no  
22 additional complications (erosion, pain)."

23 Do you see that?

24 A. Yes.

1 Q. And you would agree with me that it's your  
2 expert opinion that the company, in fact, assumed that as  
3 a critical assumption about their products at issue in  
4 Exhibit 6, and that it's your expert opinion in these  
5 cases that, in fact, Ethicon considered them and that the  
6 products, themselves, created no additional complications;  
7 correct?

8 A. Yes, I -- with the caveat that, as I  
9 understand this statement, their meaning creates no  
10 additional complications like the other complications that  
11 have already been identified, not -- not that they're  
12 saying you can't have erosion or pain; they're speaking of  
13 no additional complications beyond the known  
14 complications. That's my interpretation of that bullet.  
15 I just want to clarify that.

16 Q. Let's look at page 37, which is a risk  
17 assessment.

18 MR. DAVIS: Object to the form.

19 BY MR. WALLACE:

20 Q. It says "Risk Assessment" at the top of the  
21 page; correct?

22 A. It says it, but -- yes.

23 Q. And you would -- we would agree that this is  
24 not a full-blown risk analysis that the company conducted

1 on page 37, but rather, it captures what the company felt  
2 important, the project team at that time felt important  
3 about the concept of this device as it related to risk;  
4 right?

5 A. I would agree it's a summary.

6 Q. And it's an early assessment?

7 A. Yes, sir.

8 Q. And it's what they're identifying as important  
9 early on in summarizing that; right?

10 A. Yes.

11 Q. And you know that, at this time, they were  
12 working with these French physicians and, in fact, one of  
13 the risks that they point out on page 37 is that the work  
14 by this French group, that one of the risks is that the  
15 product that they're coming up with may not be a less  
16 traumatic transvaginal approach to pelvic floor repair;  
17 right?

18 A. No, sir. I don't follow your -- your  
19 conclusion from that. Can you --

20 Q. Well, what do you think you're seeing?

21 A. What line are you looking at?

22 Q. Looking at the first box under "Risk."

23 A. Yes.

24 Q. It says, "Kit and procedure as outlined by

1 French group TVM does not address the need for a  
2 standardized product for less traumatic, transvaginal  
3 approach to pelvic floor repair."

4 Do you see that?

5 A. Oh, I understand now, yes.

6 Q. Okay. So you agree with me that one of the  
7 risks was that the French group's product would actually  
8 be more traumatic?

9 MR. DAVIS: Object to the form.

10 A. That's not the way I interpret it.

11 BY MR. WALLACE:

12 Q. How do you interpret it?

13 A. I interpret it as it would not meet that need.  
14 This risk assessment is also in the context of success of  
15 the project, so what they're saying is the risk is what  
16 they are proposing does not address the need, not that it  
17 would be more traumatic. It says it doesn't address the  
18 need, and in that circumstance, the impact on the project  
19 would be that you would go back to the concept stage or  
20 you would have a delayed launch. This is not a risk  
21 assessment from a patient point of view; this is a risk  
22 assessment from a project point of view.

23 Q. In other words, that the project may not do  
24 what it's supposed to do?

1           A.    The impact on the project would be that it  
2    might have to go back to the concept stage or they may  
3    have to have more resources, they would delay the launch,  
4    or they could re-evaluate the project altogether.  
5    That's -- that's what this risk assessment is describing.

6           Q.    And one that does actually address the patient  
7    is that there would be erosion and recurrences due to mesh  
8    used and if, in fact, that became a problem, they would  
9    try to mitigate that by monitoring the patients in the  
10   studies; right?

11                       MR. DAVIS: Object to the form.

12   BY MR. WALLACE:

13           Q.    Do you see that?

14           A.    It's a mitigation strategy for the project,  
15   yes.

16           Q.    And that if -- and its potential impact, if  
17   that was the case, they would need to go back in the  
18   concept stage, delay launch and increase resources; right?

19           A.    Yes, sir. That's what it says.

20           Q.    And the final thing that I'll point you to on  
21   that page, it says one of the risks is that their approach  
22   creates an additional risk by the obturator passage.

23                       Do you see that?

24           A.    That's the risk that they're identifying, yes,

1 but the design could do that, yes.

2 Q. And that if there was additional risk that was  
3 presented that, in fact, the company would have to -- need  
4 to go back into the concept stage, delay launch and  
5 increase the resources; right?

6 A. That's possible, yes.

7 Q. And we know that by looking at that, but we  
8 also know that, by looking at what I showed you earlier on  
9 page 35, where one of their critical assumptions was that  
10 they wanted to create no additional problems with the  
11 transobturator approach; right?

12 A. That's correct.

13 Q. And we know that --

14 A. But may I correct you just a moment -- or not  
15 correct you, but correct my statement, excuse me?

16 I also see that they had identified needle  
17 passage as an existing risk, that that has always been for  
18 all of these products that's been a known risk, but this  
19 specific design is what they're speaking of here.

20 Q. In other words, that the specific design at  
21 issue created no additional risk?

22 A. That's right.

23 Q. So in other words, if a product had poorer  
24 outcomes --

1 A. Yes.

2 Q. -- with respect to the use of the  
3 transobturator space, that that would be something that is  
4 an additional risk that would require the company to go  
5 back to the concept stage and delay launch; correct?

6 A. I believe that's true, which is why they  
7 worked hard on that aspect, yes.

8 Q. Right. And in your words, they did a very  
9 thorough job assessing those additional risks?

10 A. I believe they did, yes.

11 Q. And as part of that -- and I don't want to  
12 spend too much time on you and I trying to figure out how  
13 these products work, but wouldn't you agree that the total  
14 Prolift kit uses six arms of mesh that are anchored?

15 A. That's my understanding, yes.

16 Q. Okay. And the posterior uses four; right?

17 A. Yes, sir.

18 Q. And the anterior uses two arms as anchors?

19 A. Yes.

20 Q. And if we needed to, we could look at  
21 pictures, but you generally agree with me; right?

22 A. I'm good with that, yes.

23 Q. And that these arms and the passages were in  
24 the obturator space; correct?



1 A. Yes.

2 Q. And the design of these arms was to anchor  
3 themselves through tissue ingrowth in those spaces?

4 A. Yes, sir.

5 Q. Speaking of Scott Ciarrocca -- I pronounced  
6 that correct; didn't I?

7 A. I wouldn't know; I've not heard it pronounced.

8 Q. He was one of the employees of Ethicon that  
9 worked on the failure modes and effects analysis that were  
10 done with respect to the three products that we've been  
11 talking about?

12 A. It's my recall, yes.

13 Q. And in other words, Scott and his team -- it  
14 wasn't him alone -- prepared paperwork relating to how the  
15 instruments might be used or misused; right?

16 A. Yes.

17 Q. Okay. And they looked at potential ways that  
18 the safety of the patient may be affected?

19 A. It's my recall.

20 Q. And they tried to document the ways in which  
21 patient safety may be impacted by the implant of these  
22 products in the Prolift and the Prolift+M; correct?

23 A. They were done in different phases on  
24 different documents.

1 Q. Was it -- was their work done just to get the  
2 product cleared, or were they actually concerning  
3 themselves with the safety of the device, as well?

4 A. Sir, it's my recall that these -- the team was  
5 very focused on the instrumentation because the material  
6 had already been established in this clinical indication.  
7 The -- the Prolift and then, later, the Prosima, were  
8 focused to the delivery system for the existing Soft Mesh.  
9 That's my interpretation of the projects, as I read them.

10 Q. Okay. But I just asked whether or not they  
11 wanted to get the product cleared or whether or not the  
12 project team for the Prolift, for example, was concerned  
13 with safety.

14 A. I believe they were concerned with the safety,  
15 which is why they were developing the instrumentation,  
16 yes.

17 Q. And so, with respect to the instrumentation,  
18 you're talking about the trocars themselves?

19 A. Yes, and the delivery procedure. The  
20 instruments would have to have a surgical procedure  
21 described with them, and they did it at work with the  
22 physicians.

23 Q. And you would agree with me, as a biomedical  
24 engineer, that when you're describing the procedure and

1 the way it's done and invented by the company, that that's  
2 actually part of the product's design itself; right?

3 A. Agreed.

4 Q. And what you're saying is the company had an  
5 obligation to satisfy itself that its delivery system,  
6 which was part of the design of the product, had to be  
7 safe?

8 A. Yes, sir.

9 Q. Do you agree with me that the company had an  
10 obligation to look at whether or not the implementation of  
11 additional mesh by using the Prolift device would equal  
12 more safety complications for the patient?

13 A. I have to have you repeat that.

14 Q. Let me ask it more simply.

15 Do you believe that the company had an  
16 obligation to look at the amount of mesh that it was  
17 putting in women and determine whether or not putting more  
18 mesh in a woman would potentially create more risk?

19 MR. DAVIS: Object to the form.

20 A. My answer is no, and the reason is because  
21 it's my understanding that the procedure kit did not, in  
22 and of itself, add more mesh because the amount of mesh  
23 for each of these procedures was already established by  
24 the physicians who were putting the mesh in without the

1 kits, that the amount of mesh had already become an  
2 understood requirement because the Soft Mesh was already  
3 used in this indication for use prior to the creation of  
4 the kits.

5 So that's why I have to say no; because I'm  
6 saying I don't believe that they were increasing, as  
7 you've put it, the amount of mesh. The amount of mesh was  
8 already established by the procedure created by the  
9 physicians before the kit ever came along. That's my  
10 understanding of the development project.

11 BY MR. WALLACE:

12 Q. And you believe that based upon Ethicon  
13 documents that you've seen?

14 A. That was the end of your question?

15 Q. (Nodding head.)

16 A. Yes.

17 Q. So in other words, what you're saying is  
18 Ethicon didn't have to assess the risk of the amount of  
19 mesh it was putting inside of women because a similar  
20 amount of mesh was already being used by physicians  
21 anyways?

22 A. Yes, sir, because you said "more," so I don't  
23 believe there was more created by Prolift or Prosima.

24 Q. Do you think that the mesh was being put in a

1 different area and the company had an obligation to assess  
2 how that amount of mesh in a different area would affect  
3 the safety of the patient?

4 A. The mesh was already cleared for that  
5 indicated use. The kit came along to establish a  
6 standardized procedure, but the mesh was already cleared,  
7 both in the CE in Europe and in the U.S. for that  
8 indicated use.

9 Q. What indicated use?

10 A. Pelvic floor repair.

11 Q. Okay. You realize that the Prolift device  
12 was, for the first time for pelvic organ prolapse, being  
13 put in an entirely new area called the transobturator  
14 space, the obturator foramen?

15 A. Yes, sir, but not --

16 Q. The company itself --

17 A. Wait, wait, wait. No.

18 MR. DAVIS: Let her finish her answer.

19 A. Excuse me, the kit enabled that, but it had  
20 already been done.

21 BY MR. WALLACE:

22 Q. Sure of that?

23 A. I would have to confirm that with my notes,  
24 but I -- my -- my footnotes, but I believe that that had

1 already been described in the literature. That was a part  
2 of their review.

3 Q. You would agree with me that the document that  
4 we just looked at assessed additional risk in the  
5 transobturator space. That's one of the things that the  
6 company wanted to address; correct -- right there  
7 (pointing)?

8 A. Yes.

9 Q. One of the ways in which to seek feedback on  
10 how a product might be performing early on is to just seek  
11 out physicians' opinions on it; right?

12 A. You dropped your voice again, sorry.

13 Q. One of the things that the company might be  
14 able to do and, in fact, did do, was seek out the opinion  
15 on physicians on how their product was performing?

16 A. Yes, sir.

17 Q. And you would agree with me that you've seen  
18 documents where French physicians that had this product  
19 before it was released to sale in the United States  
20 expressed their opinions about how the product was  
21 performing; right?

22 A. They have, yes.

23 Q. And you would agree with me that this was a  
24 novel product; right?

1 A. A what?

2 Q. That this was a novel product?

3 MR. DAVIS: Object to the form.

4 A. The instrumentation kit was new, but the  
5 surgery was not new.

6 BY MR. WALLACE:

7 Q. Was the design of the mesh new?

8 A. There were new attributes to make it work with  
9 the kit, yes, sir.

10 Q. Like what?

11 A. Folding and attachments.

12 Q. More arms?

13 A. More arms. It was pre-cut because, prior to  
14 the kit, the mesh was not pre-cut, is my recall.

15 Q. In other words, the -- while you believe that  
16 the mesh properties were made of the PROLENE --

17 A. Soft Mesh.

18 Q. -- Soft Mesh, that, in fact, you would agree  
19 with me that the design of the Prolift mesh, itself, in  
20 terms of its shape and size was new?

21 A. It was pre-shaped and sized in the kit, yes,  
22 sir.

23 Q. Was it new --

24 A. I --

1 Q. -- or not? I mean -- get an answer to my  
2 questions.

3 A. The material had not been sold previously  
4 pre-cut and set up for the kit; it had been sold as a flat  
5 sheet and altered by the physicians.

6 Q. If Ethicon called this a novel product, would  
7 Ethicon be wrong?

8 A. Sir, I am a regulatory person, so I look at  
9 similarities and differences, and if the marketing people  
10 decide to call it novel, that's another interpretation. I  
11 couldn't speak to that.

12 Q. So you can't answer the question?

13 A. I can't answer the question.

14 (Whereupon, Exhibit 7 was marked.)

15 BY MR. WALLACE:

16 Q. You'll see Scott Ciarrocca's name at the top  
17 of Exhibit 7?

18 A. Yes.

19 Q. And this is dated July 21, 2003.

20 Have you seen that?

21 A. Yes.

22 Q. And if you look at the prior exhibit,  
23 Exhibit 6, it's dated June 27, 2000 --

24 A. Yes.



1 Q. And you would agree with me that, at the  
2 bottom of the first page, Scott is asking Professor  
3 Jacquetin and Dr. Cosson questions about how this device  
4 would perform; correct?

5 A. Let me read it a moment, if you would please.  
6 He's asking about slippage.

7 Q. And Dr. Cosson responds; right? And he gives  
8 his opinion about the product. At least in part, he notes  
9 that the problems are more erosion and retraction and that  
10 it's possible to have a recurrence but it is usually due  
11 to a retraction of the mesh and the arms of the mesh.

12 MR. DAVIS: Object to the form.

13 BY MR. WALLACE:

14 Q. Do you see that?

15 A. I believe, in the context, he's speaking  
16 without the instrumentation. He's saying this is an issue  
17 we have to address.

18 Q. And he's saying that there's problems with  
19 more erosion and retraction; correct?

20 MR. DAVIS: Object to the form.

21 A. If there's slippage of the implant, the  
22 problems are more erosion and retraction.

23 BY MR. WALLACE:

24 Q. Have you read any of the testimony relating to

1 this document?

2 A. I don't believe I have.

3 Q. Yet, you still gave the opinion that Ethicon  
4 was very thorough and considered all of the risk in  
5 designing its document -- or its devices; correct?

6 A. I believe they considered this risk, yes.

7 Q. But you haven't read any of the testimony  
8 relating to this document?

9 A. I read a lot of different testimony. I can't  
10 recall if I read this or not. I've seen the e-mail, but I  
11 can't recall if I read his -- I don't believe I've read  
12 his testimony.

13 Q. And if Ethicon did not address the concerns  
14 that were being raised by the French physicians, did it  
15 meet or exceed all applicable industry standards, as your  
16 opinion states?

17 MR. DAVIS: Object to the form.

18 A. There's a presumption there. I can't answer  
19 the question as asked because you're saying they didn't.  
20 I don't understand that they didn't.

21 BY MR. WALLACE:

22 Q. Well, let's assume that they didn't. Let's  
23 assume that there were concerns about more retraction and  
24 erosion prior to the product being launched that were

1 raised to Ethicon and Ethicon had knowledge of that.

2 A. You're not speaking of this e-mail anymore,  
3 because I don't believe that's what he's trying to say  
4 here.

5 Q. Can you do me a favor? Can you not interrupt  
6 my questions?

7 A. Sorry.

8 Q. Let me ask the question.

9 A. Sorry.

10 Q. I'm going to go back. You can put that down.

11 A. All right.

12 Q. Maybe that will help.

13 If Ethicon had knowledge that there were  
14 problems with more erosion and contraction that presented  
15 different risks with respect to these devices before these  
16 devices were launched, would Ethicon be failing to meet  
17 the standards that applied to them as a reasonable  
18 manufacturer of medical devices?

19 MR. DAVIS: Object to the form.

20 A. You're speaking hypothetically?

21 BY MR. WALLACE:

22 Q. Yes.

23 A. Yes, hypothetically, yes. I don't believe  
24 they had the knowledge you're speaking of.

1 Q. Well, if they --

2 A. Because you said "more." I don't believe that  
3 they had more -- that there were more erosions and that  
4 they had prior knowledge of more erosions before they put  
5 the product out.

6 Q. Let's just talk about risk generally.

7 Would you agree with me that if they had  
8 knowledge that the Prolift device presented risk of  
9 traumatic injury to the patient that were not identified  
10 in the instructions for use and they failed to put that  
11 information on the instructions for use that they failed  
12 to meet the standards of a reasonable device manufacturer?

13 MR. DAVIS: Object to the form.

14 A. All of those "ifs" -- all of those "ifs" would  
15 have to be correct, and then I would say yes.

16 BY MR. WALLACE:

17 Q. You would agree with me if all of those "ifs"  
18 were true?

19 A. If all of those "ifs" were true.

20 Q. But you don't believe, based upon your review  
21 of documents in this case that, in fact, the hypothetical  
22 that I gave you is true?

23 A. I don't believe that it is true.

24 Q. You have a right to say that. I said you have

1 a right to say that. That's fine.

2 MR. DAVIS: Let's get to a point where  
3 we can take a short break to stretch my legs.

4 MR. WALLACE: Give me a few minutes.

5 (Whereupon, Exhibit 8 was marked.)

6 BY MR. WALLACE:

7 Q. Have you seen this document before?

8 A. It's familiar.

9 Q. Why don't you look. It's a two-page document;  
10 correct?

11 A. Yes.

12 Q. And it's an e-mail from Axel Arnaud to others;  
13 right?

14 A. Yes.

15 Q. And at the bottom, in 2005, he is saying that  
16 he wants to add a warning to the -- to the instructions  
17 for use; correct?

18 A. Let me finish.

19 Q. Okay.

20 A. Okay. I've read it.

21 Q. Okay. Thank you.

22 If you go to the second page, you'll see that  
23 Axel Arnaud is saying that he wants to add an additional  
24 warning to the instructions for use, and I'll state what

1 it says. In capital letters, it says, "WARNING."

2 Do you see that?

3 A. Yes, sir.

4 Q. It then goes on to say, "Early clinical  
5 experience has shown that the use of mesh through a  
6 vaginal approach can occasionally/and commonly lead to  
7 complications such as vaginal erosion and retraction,  
8 which can result in an anatomical distortion of the  
9 vaginal cavity that can interfere with sexual  
10 intercourse."

11 Do you see that?

12 A. Yes.

13 Q. He goes on to say, "Clinical data suggests  
14 that the risk of such a complication is increased in the  
15 case of associated hysterectomy. This must be taken into  
16 consideration when the procedure is planned in a sexually  
17 active woman."

18 Do you see that?

19 A. Yes.

20 Q. In other words, what he's saying is if you're  
21 going to be sexually active and you're going to get the  
22 IFU Prolift, you need to be told it might potentially or  
23 occasionally lead to anatomical distortion of your vaginal  
24 cavity so much that it could interfere with sexual

1 intercourse; right?

2 MR. DAVIS: Object to the form.

3 A. Yes.

4 BY MR. WALLACE:

5 Q. That's what he wants to do.

6 And Scott Ciarrocca asked Sean O'Bryan and

7 Charlotte Owens, "Can we do this;" right?

8 And they say, "We can change the adverse event

9 to whatever is most appropriate."

10 Do you see that?

11 A. Yes.

12 Q. So they're saying "We can do it," but Scott

13 says, on January 13, 2005, "We have already printed launch

14 stock. This would be a next-rev, R-E-V, addition but they

15 want it in there ASAP."

16 Do you see that?

17 A. Yes.

18 Q. You would agree with me that Scott Ciarrocca

19 was a project leader for Prolift?

20 A. Yes.

21 Q. And that they've concluded that they can make

22 this change to the IFU; correct?

23 MR. DAVIS: Object to the form.

24 A. Yes.

1 BY MR. WALLACE:

2 Q. But Scott decides that they're going to do it  
3 next time around; right?

4 A. I'm not clear what he means by, "But they want  
5 it in there ASAP." I wasn't clear what that particular  
6 extra phrase meant.

7 Q. Did you read his testimony?

8 A. You've asked me that before, and I don't  
9 recall.

10 Q. Okay. Do you -- do you know whether or not  
11 Ethicon actually ever incorporated what Axel Arnaud wanted  
12 in the IFU?

13 A. Not these exact words, but that's not  
14 uncommon.

15 Q. Do you think that if a medical device company  
16 is aware -- well, I've already asked you.

17 Isn't Ethicon failing to meet industry  
18 standards when it knows about the risk that's being  
19 identified here by one of its own employees and decides  
20 that it's not important enough to put in the IFU?

21 MR. DAVIS: Object to the form.

22 A. I cannot answer the way you've asked because  
23 you said they did not put it in there, and that they  
24 didn't want to put it in there. They did want to put it



1 in there.

2 BY MR. WALLACE:

3 Q. But they didn't?

4 A. I as a regular --

5 MR. DAVIS: Object to form.

6 A. Not in these exact words, perhaps, but when a  
7 person proposes wording to go into an instruction for use,  
8 the process for approval and for writing the -- the  
9 information into the IFU has to go through many hands; for  
10 example, someone might think it should be a warning,  
11 someone else might think it should be a caution, someone  
12 else might choose to write it a different way, but it's my  
13 understanding that that information is in the instructions  
14 for use, and that -- maybe not those exact words and  
15 perhaps not as a warning.

16 BY MR. WALLACE:

17 Q. Where's the debate that -- between the people  
18 about whether or not it should be a warning or somewhere  
19 else in the instruction for use?

20 A. Of course it's not in this e-mail. That takes  
21 place in meetings where regulatory people and engineers  
22 and physicians all get together and discuss how to word  
23 the instructions for use, and he says here -- what you  
24 didn't point out was he's talking about it has to be

1 consistent with a Gynemesh PS, because as I was talking  
2 about before, the material was used in similar procedures  
3 so they have to make that similar, and they have to make  
4 it similar -- he's talking about it would take to the  
5 second rev of Prolift. No one is saying not to put it in  
6 here.

7 Q. They didn't; did they?

8 A. Sorry?

9 Q. They didn't; did they?

10 A. The exact wording -- sir, I don't recall the  
11 exact wording, no.

12 Q. Would you, if you were a woman getting a  
13 Prolift, want to know if your vagina was going to be  
14 anatomically distorted?

15 A. You dropped your voice, I'm sorry.

16 Q. Would you, as a woman who was going to be  
17 possibly receiving the Prolift device, want to know, if  
18 you're sexually active, that your vagina might be  
19 anatomically distorted?

20 MR. DAVIS: Object to the form.

21 BY MR. WALLACE:

22 Q. That's a pretty easy yes-or-no; isn't it?

23 A. As I understand it, sir, even a hysterectomy  
24 can do that, so it's -- the way you've asked the question,

1 I would want to know that a hysterectomy would do that.  
2 Of course I would want to know that, but you're asking it  
3 in a way as if someone is holding that information back  
4 from me, and that's not the case.

5 Q. I'm asking you very simply. I'm not talking  
6 about whether or not you're getting a hysterectomy. I'm  
7 asking you, if you were sitting down to decide whether or  
8 not you were going to receive the Prolift device and  
9 you're a sexually active woman, would you want to know  
10 whether that surgery can lead to complications which can  
11 result in an anatomical distortion of the vaginal cavity  
12 that can interfere with sexual intercourse.

13 Yes or no?

14 A. Hypothetically speaking, I would, yes, but I  
15 can't say that this statement is a correct wording.

16 Q. You don't know whether or not, actually, that  
17 can happen; right?

18 A. I didn't say that. I said I am not qualified  
19 to speak to the terminology of the IFU for that particular  
20 medical procedure. Hypothetically, I would like to know  
21 about it.

22 Q. Right. So if your vagina was going to be  
23 permanently destroyed, you would want to know?

24 MR. DAVIS: Object to form.

1 A. Permanently destroyed?

2 BY MR. WALLACE:

3 Q. Right.

4 A. That certainly is not within the context of  
5 this. Now you're bringing in something differently --  
6 different.

7 MR. WALLACE: Mark that.

8 (Whereupon, Exhibit 9 was marked.)

9 BY MR. WALLACE:

10 Q. You've been provided Exhibit 9; is that  
11 correct?

12 A. I recall reading it.

13 Q. And why did you read it?

14 A. It was in the materials.

15 Q. Is it, in fact, what this document -- which is  
16 an e-mail exchange between Ethicon employees and a  
17 doctor -- pointing out an anatomically distorted vagina?

18 A. May I read it a moment?

19 Q. Go ahead.

20 A. I have to recall.

21 MR. WALLACE: Off the record.

22 (Discussion off the record.)

23 BY MR. WALLACE:

24 Q. Have you had an opportunity to look at the

1 document, Ms. Duncan?

2 A. I'm almost finished.

3 MR. DAVIS: We're not going off the  
4 record. You did not allow Anne Wilson to go off the  
5 record. If she needs to look at the document --

6 MR. WALLACE: We're not off the record  
7 right now; we're on the record.

8 MR. DAVIS: I'm sorry. I apologize. I  
9 thought I heard you tell her we're off the record. I  
10 apologize.

11 BY MR. WALLACE:

12 Q. Are you done?

13 A. Yes, sir.

14 Q. Would you agree with me that the physician  
15 that is sending this e-mail to Scott Jones is describing  
16 an anatomically distorted vagina?

17 A. It's what the physician says.

18 Q. And he's saying that he's currently involved  
19 in getting a patient to the OR, meaning the operating  
20 room, who has mesh literally protruding everywhere; right?

21 A. It's what he wrote.

22 Q. And it's his opinion at the time that he's  
23 writing this that it's likely that this patient is going  
24 to lose any coital function.

1 Do you see that?

2 A. That's what he says.

3 Q. And that is referring to sexual intercourse;  
4 correct?

5 A. Yes.

6 Q. And he's pointing out that her vaginal space  
7 is so distorted that it's now three centimeters.

8 Do you see that?

9 A. Yes.

10 Q. And he is also pointing out that this patient  
11 will have a permanently destroyed vagina and that he's  
12 only hoping to get her out of this without any morbidity.

13 Do you see that?

14 MR. DAVIS: Object to the form.

15 A. Yes.

16 BY MR. WALLACE:

17 Q. Isn't this document describing, in very clear  
18 terms, exactly the kind of warning or event that Axel  
19 Arnaud was warning about in 2003?

20 MR. DAVIS: Object to the form.

21 A. No, sir, I cannot make that conclusion based  
22 on this particular e-mail because there's no other  
23 information about the patient other than what the  
24 physician is saying in his e-mail. There's -- there's a

1 lot left unsaid here.

2 BY MR. WALLACE:

3 Q. Well, this document is talking about the  
4 Prolift; right?

5 A. Yes.

6 Q. Axel Arnaud was talking about the Prolift?

7 A. Yes.

8 Q. And this physician is describing an anatomical  
9 distortion in the vagina, and Axel Arnaud was describing  
10 an anatomical distortion in the vagina; right?

11 MR. DAVIS: Object to the form.

12 A. Yes, they are describing that.

13 BY MR. WALLACE:

14 Q. And the patient -- or Axel Arnaud was saying  
15 that that could interfere with sexual intercourse;  
16 correct?

17 MR. DAVIS: Objection --

18 A. Yes, and they're also talking about training  
19 practitioners.

20 BY MR. WALLACE:

21 Q. Can I finish my question, please?

22 A. Yes.

23 Q. My question was, Axel Arnaud was describing  
24 the fact that there could be a problem with sexual

1 intercourse, and this physician is also saying that this  
2 lady is likely to lose the ability to have sexual  
3 intercourse; correct?

4 A. Yes, sir.

5 Q. And the fact that she's going to have a  
6 permanently-destroyed vagina?

7 A. Yes.

8 Q. So I'm going to ask my question again.

9 Isn't this event that's being described by  
10 this physician here with respect to the Prolift device  
11 what Axel Arnaud was warning about; that, in fact, the  
12 Prolift device could result in erosions which could result  
13 in anatomical distortion of the vagina and interfere with  
14 sexual intercourse?

15 MR. DAVIS: Object to the form.

16 A. Sir, this e-mail does not specifically state  
17 whether or not this patient had a hysterectomy, concurrent  
18 or prior. You're trying to link the two, and I don't see  
19 enough information in this e-mail to conclusively link the  
20 two as you are doing.

21 BY MR. WALLACE:

22 Q. Ma'am, you're -- I'm going to clear up the  
23 confusion for you.

24 Why don't you look at the e-mail because the



1 e-mail says that it may become more prevalent with a  
2 hysterectomy. It doesn't say that it only happens when  
3 there's an associated hysterectomy. So why don't you read  
4 Axel's warning.

5 Does that clear up your confusion?

6 MR. DAVIS: Object to the form.

7 A. I'm not confused. I'm not confused about  
8 these two. I'm stating that this e-mail (pointing) is  
9 separate from this e-mail (pointing) and he's --

10 BY MR. WALLACE:

11 Q. They're describing the same events; correct?

12 MR. DAVIS: Finish your answer before --

13 A. He was proposing wording of a specific  
14 warning, and the context of the warning is clear. The  
15 context of this e-mail is not clear. I don't know that  
16 this physician did this procedure. I don't know anything  
17 about this particular patient. I cannot leap from this  
18 e-mail to that e-mail. That would be inappropriate to do  
19 that.

20 BY MR. WALLACE:

21 Q. Well, you used the word "similar" before, so  
22 I'm going to use it.

23 Would you agree with me that, because you've  
24 already agreed with me, that this doctor has described

1 anatomical distortion that is interfering with sexual  
2 intercourse that, in fact, what he's describing is similar  
3 to what warning Axel Arnaud wanted to put on there?

4 MR. DAVIS: Object to the form.

5 BY MR. WALLACE:

6 Q. Yes or no?

7 A. There are similarities.

8 Q. Now, where are all the documents where Ethicon  
9 followed up on this adverse event?

10 MR. DAVIS: Object to the form.

11 A. Did you ask me where they are?

12 BY MR. WALLACE:

13 Q. Uh-huh (affirmative).

14 A. I will have to go back to the documents and  
15 look for them, I suppose.

16 Q. Well, you said Exhibit 9 looked familiar to  
17 you; right?

18 A. Yes, sir.

19 Q. And you read it in the course of your -- what  
20 is it; 82 hours that you worked on the report?

21 A. I read all of the complaint.

22 Q. So when you read something about a woman  
23 having a permanently destroyed vagina, was -- your first  
24 thought was that this was an adverse event; right?

1           A.    I was reading all of the complaints, trying to  
2   understand the complaints as they had been reported.

3           Q.    And you would want to know, as someone in your  
4   position that's rendering an opinion about how thorough  
5   the company was, what the company might have done about  
6   this complaint of an adverse event by a physician; right?

7           A.    First off, I have to say that it has to get --  
8   we are making a presumption that this was submitted as a  
9   complaint, and then, that way, they would be able to react  
10   to it. I don't know, sitting here today looking at this  
11   e-mail, if the e-mail got through the complaint system or  
12   not. I would have to look at that. I can't just recall.

13          Q.    Well, is this a compliment or a complaint? Is  
14   this a compliment about the Prolift or a complaint about  
15   the Prolift?

16          A.    I just was explaining to you that an e-mail  
17   like this has to go through the complaint system, and if  
18   Scott submitted it in the complaint system, then I can  
19   track that for you. I can't sit here and tell you how it  
20   was reacted to from memory.

21          Q.    So you don't, as a person who's opining with  
22   respect to Ethicon and giving a Rule 26 expert report, you  
23   did not say, "Listen, was this reported as a complaint or  
24   not?"

1           A.    There were many complaints that I did not  
2   track each complaint to each response.  I looked at  
3   complaint reports and how those complaint reports, which  
4   are summaries of complaints, were reacted to by the  
5   company.  I recall reading this complaint, but I do not  
6   recall how this complaint filtered through the system.  I  
7   would have to check that for you.  I can't recall that.

8           Q.    You would agree with me that the company, upon  
9   receiving this information, should have put this through  
10  the complaint process; right?

11          A.    I would assume that it would have gone through  
12  the complaint system, but I would have to check that.

13          Q.    And one of the things that companies can do is  
14  they can reach out to physicians, for example, to get  
15  explanted material or try to work with those physicians as  
16  much as possible to understand why complications are  
17  occurring; right?

18                   MR. DAVIS:  Object to the form.

19          A.    They do and can, yes.

20  BY MR. WALLACE:

21          Q.    And Ethicon had the opportunity, like other  
22  medical device manufacturers, to actually reach out to  
23  physicians to try to get those implants back if at all  
24  possible?

1 MR. DAVIS: Object to the form.

2 A. I don't know what their opportunities were.  
3 They could have asked. I don't know what real  
4 opportunities exist because if this was outside the  
5 country, I can't recall exactly the location for Dr. Long,  
6 but some countries do not allow them to be -- to leave  
7 their country. Some hospitals will not release the  
8 samples. I don't know what opportunities they had to get  
9 this back.

10 BY MR. WALLACE:

11 Q. Well, my read of the e-mail is that Scott  
12 Jones makes it very clear that he's e-mailing St. Louis  
13 University Uro-GYN, and the e-mail is from a St. Louis  
14 University address.

15 A. But I'm saying -- you were speaking generally.  
16 I do not know specifically what they were able to do.

17 Q. With respect to the adverse event -- let me  
18 back up for a second and then we'll take a break.

19 You have given the opinion that Ethicon's  
20 follow-up on its adverse events was thorough and  
21 appropriate; correct?

22 A. I was -- I believe that I was speaking of the  
23 design control and review and the risk analysis and the  
24 risk management after the project was in the market.

1 Q. And with respect to the risk management that  
2 was done after the products were in the market, those  
3 products, by the way, being the Prolift, the Prolift+M and  
4 the Prosima, it is your opinion that Ethicon did a  
5 thorough job in following up on adverse event reports  
6 after the product was released to the market?

7 A. Yes, sir.

8 Q. And tell me where there is any evidence  
9 whatsoever in any of your documents or anywhere, for that  
10 matter, that Ethicon attempted to get explanted material  
11 back in order to determine or examine complications with  
12 respect to those medical devices.

13 MR. DAVIS: Object to the form.

14 A. With respect to numerous complaints that I  
15 read and MDR reports that I read that followed from those  
16 serious complaints, basically a serious adverse event  
17 would engender a complaint -- excuse me, an MDR -- as a  
18 part of that process, the personnel asked for the implant  
19 to be returned when they're doing their follow-up.  
20 Individual people within a company may not have that  
21 privilege. That's usually delegated to the person in the  
22 complaint department who's following up on an adverse  
23 event that's reportable under MDR. So they seek out  
24 additional information about the event so that they can be

1 more thorough in their MDR reporting, and that that is  
2 their opportunity to ask if the implant will be returned.

3 MR. WALLACE: Take a break?

4 MR. DAVIS: Sure.

5 (Whereupon, a recess was taken from  
6 12:24 p.m. to 12:34 p.m.)

7 (Whereupon, Exhibit 10 was marked.)

8 BY MR. WALLACE:

9 Q. Have you seen that document before?

10 A. Yes.

11 Q. Do you know who -- I wish I could tell you the  
12 page number, but let me ask you some names.

13 Do you know -- can you read German?

14 A. I cannot read German.

15 Q. What do the first 18 pages represent?

16 A. These two pages?

17 Q. No, the first 18?

18 A. 18, oh.

19 Q. Are in German; correct?

20 MR. DAVIS: Object to the form.

21 A. Yes.

22 BY MR. WALLACE:

23 Q. Can you read them?

24 A. I can tell you they are a procedure, and

1     there's a couple of places where they are dual-translated  
2     so I can get enough out of this to understand what it's  
3     about.

4             Q.     What do you understand this exhibit to  
5     represent?

6             A.     That this is a risk analysis procedure.

7             Q.     By whom?

8             A.     The Norderstedt location; is that what you  
9     mean? The author was Dr. Hinsch. It says "Reviser." I  
10    don't know exactly what that means.

11            Q.     Can I -- let me ask a more simple question.

12                   Does Exhibit 10 have any relevance to your  
13    opinions whatsoever?

14            A.     Yes.

15            Q.     In what way?

16            A.     This was a procedure for the conduct of the  
17    risk analysis that was conducted at Norderstedt.

18            Q.     And you would agree with me that it cites  
19    1441?

20            A.     In the appendix, they -- they list the  
21    appendices, but they're not attached here, of course, but  
22    in the appendices, they refer to EN 1441.

23            Q.     And you would agree with me that Anne Wilson  
24    believes that that applied to the company?



1 MR. DAVIS: Object to the form.

2 A. Sir, I don't know -- excuse me?

3 BY MR. WALLACE:

4 Q. Let's back up for a second.

5 You would agree with me that 1441 is an  
6 international standard; correct?

7 A. It was actually an EN standard.

8 Q. And what do you mean by that?

9 A. It was a European national standard.

10 Q. Well, that's international; right?

11 MR. DAVIS: Object to the form.

12 A. Outside of the U.S., it is, beyond our  
13 borders.

14 BY MR. WALLACE:

15 Q. I don't want to quibble with you about whether  
16 it's international or European, but the bottom line is it  
17 was a standard that was applied to Ethicon, and Ethicon  
18 believed that this standard applied to it; correct?

19 MR. DAVIS: Object to the form.

20 A. The second way you said that is correct;  
21 Ethicon believed that it was applicable. It is a  
22 voluntary standard, so it is not applied to a company.

23 BY MR. WALLACE:

24 Q. Ethicon adopted the 1441 standard?

1 A. At a certain point in time, they did.

2 Q. You can put that to the side.

3 (Whereupon, Exhibit 11 was marked.)

4 BY MR. WALLACE:

5 Q. You -- I'll represent to you that on page 12  
6 of your report, you refer to Exhibit 11, which is a  
7 biocompatibility risk assessment from the PROLENE  
8 technical file.

9 Do you recall footnoting or referencing this  
10 document?

11 A. It looks familiar, yes.

12 Q. And it's signed by Thomas Barbolt, that's  
13 spelled B-A-R-B-O-L-T; right?

14 A. Yes.

15 Q. And you cite to this document to make the  
16 point that PROLENE was a successful suture device that had  
17 an accepted indication for use; correct?

18 A. I would -- you're speaking of what I said?

19 Q. Yeah.

20 A. What page is that, please?

21 Q. On page 12. You said that PROLENE was  
22 successfully developed as a biomaterial.

23 A. It's a suture biomaterial in the 1960s.

24 Q. Right. Just to be clear, you're referring to

1 a suture, not a vaginal mesh; right?

2 A. In that sentence, I'm speaking of a suture.

3 Q. And you would agree with me that the document  
4 you referred to, which is Exhibit 11, was signed by Thomas  
5 Barbolt?

6 A. Okay. And excuse me, again, what was the  
7 footnote you referenced?

8 Q. Footnote 9.

9 A. 9, okay.

10 Q. If you look at the second page of Exhibit 11,  
11 you'll see the signature of Thomas Barbolt.

12 Do you see that?

13 A. Yes, sir.

14 Q. Do you recall reading his testimony in this  
15 case?

16 A. I recall reading it, but I can't recall exact  
17 words.

18 Q. Well, let me ask you this way, then.

19 If he testified that PROLENE is susceptible to  
20 surface degradation, you wouldn't have any reason to  
21 disagree with him; right?

22 A. Is that another hypothetical? I don't recall  
23 his exact testimony, so if you want to read that to me, I  
24 can tell you if I agree or disagree.

1 Q. I -- I get to ask the questions this way,  
2 though.

3 A. Okay.

4 Q. So I'm going to ask -- it's a very basic  
5 question.

6 If he testified --

7 A. If he testified?

8 Q. -- if he testified that PROLENE is susceptible  
9 to surface degradation, you wouldn't have any reason to  
10 disagree with that testimony; would you?

11 A. If he testified, I would not disagree with  
12 that statement.

13 Q. Okay. And did any of the testing that is  
14 referenced or materials that are referenced in Exhibit 11  
15 have anything to do with evaluating the performance of  
16 PROLENE in the pelvic floor?

17 MR. DAVIS: You're pointing her to  
18 Exhibit 11?

19 THE WITNESS: I can't make out the date.

20 MR. DAVIS: 1997.

21 THE WITNESS: This is 1997?

22 BY MR. WALLACE:

23 Q. Page 2, yes. Just for the record, you've  
24 written on the exhibit.

1 A. I'm sorry.

2 Q. That's okay. That's fine if you want to do  
3 that. That's not a problem for me.

4 I take it you're trying to reference the date  
5 because you want to know if this predates the pelvic floor  
6 repair kits; right?

7 A. You introduced that point.

8 Q. Okay. So you would agree with me that, with  
9 respect to Exhibit 11, that the materials or other  
10 information, including the literature that's referenced in  
11 Exhibit 11, do not evaluate the performance of PROLENE in  
12 the pelvic floor?

13 A. On page 33, he references an implant, and I  
14 just need a moment to look at this. And your question  
15 again, please repeat.

16 Q. The information and literature referenced in  
17 Exhibit 11 does not reference the use of PROLENE in the  
18 pelvic floor.

19 A. On page 35, the reference is to  
20 colposuspension and urinary incontinence, rectopexy,  
21 colposacropexy. So in some respects, I see it as being  
22 pertinent to the general use in -- in pelvic floor, but  
23 not specific to these devices as they are created in the  
24 Prolift and the Prosima.

1 Q. Thank you. You can put that to the side.

2 A. Okay.

3 Q. You -- with respect to the issue of  
4 degradation, you noted that FDA inspection showed no  
5 deficiency had been observed with respect to the risk  
6 analysis relating to degradation.

7 Is it fair to say that you can't offer your  
8 opinions in this case without reference to FDA  
9 regulations?

10 MR. DAVIS: Object to the form.

11 A. No, sir. Can you say -- can you cite to the  
12 location in the report, please?

13 BY MR. WALLACE:

14 Q. I think it was page 21, if I'm not mistaken,  
15 but I'm just asking a more general question, ma'am.

16 What I'm saying is you say that -- and I'm  
17 going to generalize what you say in the report -- that you  
18 can't take into account device design and risk assessment  
19 without considering the FDA regulations that you believe  
20 apply to it; right?

21 MR. DAVIS: Object to the form; asked  
22 and answered, calls for speculation.

23 A. No, not correct.

24 BY MR. WALLACE:

1 Q. Why aren't I correct?

2 A. Because the review I did incorporated the  
3 requirements in both a specific and a general sense.

4 Q. What do you mean by that?

5 A. I looked at requirements that were, for  
6 example, standards that were referenced and considered by  
7 the groups that were internationally located, as well as  
8 the clearance requirements for selling the product in the  
9 U.S. So all of those were part of my review.

10 Q. Fair enough. I appreciate you clearing that  
11 up. What I'm getting at is that what you believe applies  
12 here are standards that are recommended or required by  
13 regulatory bodies; right?

14 MR. DAVIS: Object to the form.

15 BY MR. WALLACE:

16 Q. Whether they be European or FDA?

17 A. Not all of the applicable standards at any  
18 given time are adopted by each of these organizations, so  
19 you have to -- so it's difficult, when you ask me a  
20 general question, for me to give you a general answer. We  
21 have to look at when the standards were applicable in each  
22 of these countries.

23 Q. You spent a lot of time talking about the  
24 510(k) process, for example.

1 Do you believe that your opinion is based  
2 on -- can exist with or without references to 510(k)?

3 A. Yes, I believe I can make the -- the report  
4 without reference to the 510(k).

5 Q. Do you believe that you can make this report  
6 without references to any FDA regulations whatsoever?

7 A. I would be remiss if I knew that there was an  
8 issue with regulatory clearance in any jurisdiction and  
9 didn't bring it up in the report.

10 Q. Why?

11 A. Because it was a part of my due diligence in  
12 the review of the documentation.

13 Q. And you think that affects how the company  
14 would conduct its analysis of risk, for example?

15 MR. DAVIS: Object to the form.

16 A. For example, in 14971, the standard admonishes  
17 you to take into consideration, when conducting a risk  
18 analysis, the prevailing regulatory requirements in the  
19 respective jurisdiction. That's in the 14971. So if I'm  
20 looking at how somebody does the risk analysis, I have to  
21 do that in the perspective as the standard directs me to  
22 appreciate regulatory requirements on it.

23 Is -- is that clear?

24 BY MR. WALLACE:



1 Q. Well, it's your opinion on page 21, for  
2 example, that the safety of the product is intertwined  
3 with quality systems that must meet the requirements  
4 established by regulatory authorities.

5 Do you see that?

6 A. Do you have a specific paragraph?

7 Q. The fourth full paragraph down.

8 A. Starting with Dr. Dunn?

9 Q. Yes. What I'm understanding -- I'm just  
10 telling you what I'm understanding reading your report is  
11 that you cannot -- you can't give me your opinions without  
12 referencing the requirements established by regulatory  
13 authorities.

14 MR. DAVIS: Object to the form.

15 A. I disagree with your statement. I'm -- on  
16 page 21, I'm speaking specifically to what Dr. Dunn had  
17 said. This is part of the report that is, if you will, a  
18 rebuttal to his claims, and so I'm commenting on his  
19 accusation that Ethicon has an inadequate quality system.  
20 He stated that, and I'm countering that I believe he  
21 doesn't understand the scope of their quality system.

22 So when I'm discussing that, there's two  
23 bullet points I speak of; the quality systems associated  
24 with one of the primary jurisdictions, the U.S., and the

1 other primary jurisdiction, Europe, and I'm pointing out  
2 that his claim is incorrect. That's what I'm saying in  
3 this report.

4 BY MR. WALLACE:

5 Q. Let's -- you can put that document away for a  
6 moment.

7 You would agree with me that when a company  
8 decides to launch a product like the Prolift, that they  
9 need to understand how wound healing occurs in the space  
10 in which the device is going to be implanted; right?

11 A. That's correct.

12 Q. And they need to understand how, if they're  
13 working with different grafts, like the Prolift or the  
14 Prolift+M or Prosima, how those devices might compare to  
15 each other as to their performance in that space; right?

16 A. The question contains a premise that I need  
17 you to clarify. The Prosima and the Prolift, differences  
18 are primarily the instrumentation, so the material in that  
19 space would not be different in its healing  
20 characteristics.

21 Q. If you want to limit your answer to the  
22 Prolift and the Prolift+M, go ahead.

23 A. But your question --

24 Q. They want to see how different grafts are

1 going to perform in the space; right?

2 A. I don't characterize this as a graft, but  
3 if -- that's your word. It's a scaffold.

4 Q. Would you agree with me that the mesh at issue  
5 has pores to allegedly allow for tissue ingrowth?

6 A. Yes, sir.

7 Q. And you would agree with me that before this  
8 product is launched, that the company needs to understand  
9 the importance of pore size and how the mesh is going to  
10 integrate with the tissue; right?

11 A. That's correct.

12 Q. And you would agree with me that if there are  
13 issues about flexibility or elasticity, that the company  
14 needs to understand that before it launches the product  
15 for sale; correct?

16 MR. DAVIS: Object to the form.

17 A. As I pointed out, the PROLENE Soft Mesh design  
18 team considered the flexibility issue as a part of their  
19 design requirements.

20 BY MR. WALLACE:

21 Q. Do you believe that the company needs to be  
22 familiar with the applicable medical and scientific  
23 literature that may relate to the device before that  
24 device is launched?

1 A. Yes, sir.

2 Q. All right.

3 (Whereupon, Exhibit 12 was marked.)

4 BY MR. WALLACE:

5 Q. Have you seen that document before, which is  
6 Exhibit 12?

7 A. Let me read it a moment. They all tend to  
8 blur together.

9 Q. And my question remains pending, that whether  
10 or not you've seen this document before. That's all I  
11 want to know.

12 A. It's not that familiar to me, but --

13 Q. Is it possible that you could have seen it  
14 before?

15 A. It's possible I've read it, but I can't  
16 recall.

17 Q. Do you know who Jonathan Meek is?

18 A. I recall his name, but I can't place his  
19 position in the company.

20 Q. Do you know who Piet Hinoul, P-I-E-T, Hinoul,  
21 H-I-N-O-U-L?

22 Do you know who he is?

23 A. Jonathan Meek, the worldwide director, sure,  
24 I'm recognizing him, yes. Like I said, I'm not recalling.

1 Q. What about Mr. Kirkemo; do you remember who he  
2 is?

3 A. Don't remember his title, but I remember  
4 reading his and -- how do you say it; Piet Hinoul?

5 Q. Uh-huh (affirmative).

6 A. Yes. Harel Gadot, yes.

7 Q. Let me ask you a different question.

8 A. These names are familiar, yes.

9 Q. Okay. So you're familiar with the names on  
10 the e-mail?

11 A. Yes, yes.

12 Q. And you would agree with me that's an  
13 October 29 -- I'm sorry, October 29, 2008 e-mail; right?

14 A. Yes.

15 Q. And that Mr. Meek is saying that they're --  
16 that he's getting the team together to discuss the  
17 pre-reading that will support the knowledge build for the  
18 Prolift+M launch, and he admits that he was ignorant to  
19 the work carried out by the likes of Cobb, Klosterfalfen  
20 and Klinge, Klosterfalfen being spelled  
21 K-L-O-S-T-E-R-F-A-L-F-E-N; right?

22 A. Yes.

23 Q. Do you see that?

24 A. Yes.

1 Q. Are you aware of the literature that  
2 Dr. Klosterfalfen published in 2000 about adverse events  
3 relating to mesh?

4 A. I believe I read that paper.

5 Q. Did you read the paper authored by Dr. Cobb  
6 from 2004?

7 A. I'm not as familiar with that name.

8 Q. Are you familiar with Dr. Klinge's work which  
9 has spanned, at this point, well over a decade?

10 A. I'm familiar. I've read a number of papers.

11 Q. Would you think that it's important that  
12 someone that is working on the Prolift M in the position  
13 that Mr. Meek was as a worldwide marketing director who  
14 would be describing the attributes of the product to be  
15 familiar with the properties of the device?

16 MR. DAVIS: Object to the form.

17 A. Properties of the device?

18 BY MR. WALLACE:

19 Q. (Nodding head.)

20 A. Which device are you speaking of? All of the  
21 devices?

22 Q. The Prolift and the Prolift+M.

23 A. I would think he would be generally familiar.  
24 I don't know that he'd be able to recall physical

1 properties to the letter, but generally knowledgeable.

2 Q. Does it surprise you that he was ignorant to  
3 the work carried out by these physicians?

4 MR. DAVIS: Object to the form.

5 A. Frankly, no, because, as I recall, at least  
6 some of this work was in the biomaterials research area  
7 and not in clinical work, and so it's not out of the  
8 question that specific pockets of research don't filter up  
9 to clinical work.

10 BY MR. WALLACE:

11 Q. You would agree with me that one of the  
12 concepts in this exhibit that we looked at quite a while  
13 back, Exhibit 6, was that they wanted to have the best  
14 procedure.

15 Do you recall seeing that?

16 A. Generally, it was -- yes, it was a safe --  
17 that was one of their objectives, of course.

18 Q. That it was going to be the best of the best;  
19 right?

20 A. The best -- I don't recall those exact words.

21 MR. DAVIS: Object to the form.

22 BY MR. WALLACE:

23 Q. I'll find it for you.

24 Here you go. Look at page 39 of Exhibit 6 and

1 tell me, when they're assessing the market, that they want  
2 to employ the approach of the best product and the best  
3 procedure.

4 A. He says this, yes.

5 Q. That's what the concept document says in  
6 Exhibit 6?

7 A. Yes, the market assessment in the EU, their  
8 opportunity is to have the best product and the best  
9 procedure.

10 Q. And you would agree with me that that's, of  
11 course, what any responsible medical device manufacturer  
12 would want to do in the United States, as well; is to have  
13 the best product available and the best procedure, if  
14 possible; right?

15 A. Yes.

16 Q. And if you're making a marketing claim in that  
17 regard, you want to be, of course, truthful and accurate;  
18 right?

19 A. Yes. Did they make that claim?

20 Q. Can you then, please, look at Exhibit 12 and  
21 look at the key point at the bottom of the page?

22 A. Okay.

23 Q. And it says, "PP --" which I'll represent to  
24 you stands for polypropylene "-- is the best of a bad lot



1 re integration, retraction, and there is a need to develop  
2 grafts that mimic the human tissue and mechanical  
3 properties."

4 Do you see that?

5 A. Yes.

6 Q. And do you believe that Mr. Meek was being  
7 truthful and accurate at the time that he wrote that  
8 internal e-mail?

9 MR. DAVIS: Object to the form.

10 A. I don't know what he meant, but I don't think  
11 that anybody was arguing at that point in time that they  
12 were seeking a material with better human tissue  
13 mechanical properties. That was -- that's been a quest  
14 for 20 years.

15 BY MR. WALLACE:

16 Q. Do you know whether or not Ethicon told  
17 physicians and patients that polypropylene was the best of  
18 a bad lot regarding integration and retraction?

19 MR. DAVIS: Object to the form.

20 A. I don't know if anyone would use that  
21 terminology.

22 BY MR. WALLACE:

23 Q. Do you -- have you seen -- we've talked about  
24 pore size already; right?

1 A. No, we haven't.

2 Q. Okay. Well, let's talk about it for a moment.

3 You would agree with me that an effective pore  
4 size is important for tissue ingrowth in the Prolift and  
5 the other products you're here to testify about; right?

6 A. Yes, pore size is a consideration.

7 Q. And you would agree with me that these  
8 products, especially the arms being placed under stress  
9 and tension, that you would have to do some analysis as to  
10 how pore size might be affected upon implant in order to  
11 allow adequate tissue ingrowth; right?

12 MR. DAVIS: Object to the form.

13 A. That would be one attribute.

14 BY MR. WALLACE:

15 Q. And the company would want to look at that;  
16 right?

17 A. That would be one attribute, yes.

18 Q. And do you say anywhere in your report, at  
19 all, or cite to any documents where the company actually  
20 considered how effective pore size might be in these  
21 products after implant?

22 MR. DAVIS: Object to the form.

23 A. My recall is that a part of the PROLENE Soft  
24 development was to assess the properties of pores and also

1     how pores change under tension, and I can't recall exactly  
2     where that discussion was. I'd have to look it up.

3     BY MR. WALLACE:

4             Q.     You would agree with me, though, when you're  
5     talking about PROLENE generally, that PROLENE doesn't have  
6     pores?

7             A.     Excuse me? Say it again.

8             Q.     PROLENE does not have pores. A PROLENE suture  
9     does not have pores; does it?

10            A.     Oh, a PROLENE suture is not porous. There  
11     were PROLENE twist -- let me -- what's the term? PROLENE,  
12     as we're describing it, is a monofilament. There was a  
13     multi-filament PROLENE suture.

14            Q.     I'm going to mark this document and ask that  
15     you flip to page 7.

16                    (Whereupon, Exhibit 13 was marked.)

17     BY MR. WALLACE:

18            Q.     You cited this document, didn't you, for the  
19     proposition that others, including the FDA, have said that  
20     the use of PROLENE as an implant is safe; correct?

21            A.     I would like to see how I cited it. Would  
22     you -- do you recall? I'd have to look them up.

23            Q.     You don't remember citing to that document?

24            A.     I remember citing the document, but there are

1 so many documents in here.

2 Q. Page 26.

3 A. Okay. Thank you.

4 Q. You actually -- you're quoting from the  
5 document, but you don't cite it. I'll represent that to  
6 you.

7 A. I'm sorry?

8 Q. You're quoting from -- from this document.  
9 You actually don't cite it, ma'am, but you're quoting from  
10 it. I'll represent that to you; okay?

11 What I want to know --

12 A. Where do you say that I'm quoting from it?

13 Q. "By 1990." I assume you're referencing this  
14 document.

15 MR. DAVIS: He's pointing to right down  
16 there (pointing).

17 THE WITNESS: Oh.

18 BY MR. WALLACE:

19 Q. Are you or are you not referring to this  
20 document?

21 A. I wasn't specific to that document, but that  
22 certainly is one of the many documents.

23 Q. Well, just real quickly, you would agree with  
24 me that the -- if you look at page 8 of this document,

1 that the type of tissue at the wound site and where the  
2 device is actually going to be placed matter, and you, as  
3 a biomedical engineer, know that that is a very critical  
4 consideration that a company has to take into account when  
5 designing a device; correct?

6 A. Correct.

7 Q. So the fact that the use of a suture without  
8 pores is safe and effective, say for example, in  
9 somebody's arm or in somebody's heart, doesn't necessarily  
10 mean that it's safe when it's woven together as a  
11 transvaginal mesh and placed inside a woman's transvaginal  
12 tissues; right?

13 MR. DAVIS: Object to the form.

14 A. I disagree with what you are characterizing as  
15 what I said. I didn't say that. I said it was already  
16 well-known and studied that it had been tolerated within  
17 the human body and that any oxidative degradation proceeds  
18 slowly and it's not clinically significant, and that's  
19 what I was speaking of. I didn't leap from there to other  
20 locations.

21 BY MR. WALLACE:

22 Q. I'm just asking you generally, you can't sit  
23 here today and testify that, because the FDA made a  
24 statement in 1990 that oxidation proceeds slowly with

1     respect to a suture, that it proceeds slowly with respect  
2     to a transvaginal mesh placed inside a woman's vaginal  
3     tissues; right?

4             A.    I can't say to the contrary, either.  I cannot  
5     answer the question as -- as you have proposed it.

6             Q.    Who has the burden to prove that their device  
7     is safe?

8             A.    The company putting it on the market.

9             Q.    Okay.  And so -- and you would agree with  
10    me --

11                   MR. DAVIS:  Object to form on that last  
12    question.

13    BY MR. WALLACE:

14             Q.    Let's just move on.

15                   You recall testifying before; right?

16             A.    Yes.

17             Q.    And we were in the room next door, I think.

18             A.    Okay.

19             Q.    And you, both in this report and at your  
20    deposition back then, in my words -- not yours -- made it  
21    a pretty big deal that the FDA had made some, what you  
22    believe to be, favorable statements about the use of mesh,  
23    right?

24                   MR. DAVIS:  Object to the form.

1           A.    I believe I referenced the AUGS statement,  
2   A-U-G-S.

3   BY MR. WALLACE:

4           Q.    Well, you -- you also referenced the FDA in  
5   your deposition before.  You said -- you referenced the  
6   AUGS Organization, and even the FDA that, "I would be  
7   foolhardy to suggest that there was a better way to make  
8   this product."

9                   Do you recall making, generally, a statement  
10  like that?

11           A.    I don't recall that exact wording.  If you  
12  want to read it to me, I don't --

13           Q.    Well, let me ask you generally.

14                   You would agree with me that you have taken  
15  into account what the FDA has done in the past with  
16  respect to both mesh and PROLENE in coming up with your  
17  opinions; right?

18                   MR. DAVIS:  Object to the form.

19           A.    I've taken into account all aspects of the use  
20  of the material, including hernia applications, and so  
21  that would include any regulatory approvals in any  
22  country.

23   BY MR. WALLACE:

24           Q.    And I'm getting at something much more simpler

1 that shouldn't really be in debate.

2 A. Okay.

3 Q. You took into account all the documents that  
4 you reviewed, and you also took into account what the FDA  
5 had to say about them; right?

6 A. Oh, I recall. There was an FDA release, news  
7 release where they accepted the TVT product from their 522  
8 order.

9 Q. And that was relevant to your opinion; right?

10 A. At the time.

11 Q. Okay.

12 A. Because we were discussing the TVT product at  
13 the time.

14 Q. Well, if the FDA identified surgical mesh for  
15 transvaginal repair of pelvic organ prolapse as an area of  
16 serious concern, would that be something that you would  
17 consider and take into account when rendering an opinion?

18 A. You have to consider any organization of that  
19 nature that would make a pronouncement of that sort.

20 Q. Well, does that affect your view of the safety  
21 of POP devices?

22 MR. DAVIS: Object to the form.

23 A. No, it has not.

24 MR. WALLACE: I think we're going to



1 switch seats for the time being.

2 MS. FITZPATRICK: You may want to take a  
3 brief break.

4 (Whereupon, a recess was taken from  
5 1:11 p.m. to 1:14 p.m.)

6 EXAMINATION

7 BY MS. FITZPATRICK:

8 Q. Ms. Duncan, my name is Fidelma Fitzpatrick.  
9 We met a number of months ago here.

10 Do you recall?

11 A. Yes.

12 Q. And at that point, I took your deposition on  
13 the TVT retropubic device.

14 A. Yes, sir -- ma'am.

15 Q. And that was in conjunction with a case that  
16 was pending in the Southern District of West Virginia --  
17 actually, 37 cases pending there; correct?

18 A. Yes, ma'am.

19 Q. And since that time, you have issued a report  
20 on the TVT retropubic and the TVT-O devices; correct?

21 A. Yes, ma'am.

22 Q. So I'm going to go ahead and get these marked  
23 as -- Exhibit 14 will be the TVT-R report, and the  
24 Exhibit 15 will be the TVT0 report.

1 MS. FITZPATRICK: Do you need a copy?

2 MR. DAVIS: Those two, I do already have  
3 a copy.

4 MS. FITZPATRICK: I got them for you  
5 here if you want them.

6 (Whereupon, Exhibits 14 and 15 were marked.)

7 BY MS. FITZPATRICK:

8 Q. So there's the marked copies.

9 Now, have you had a chance to look at your  
10 TVT-R deposition that I took a number of months ago?

11 A. I read it some time ago.

12 Q. And is there anything in that deposition that  
13 you need to change or alter in any way? I don't want to  
14 rehash old ground.

15 MR. DAVIS: Just one technicality; you  
16 mean other than the errata sheet she's already given?

17 BY MS. FITZPATRICK:

18 Q. Other than the errata sheet that you've  
19 already given; correct.

20 A. Yes, I can't recall if we found any other  
21 typos on footnotes, but I believe we caught the majority.  
22 That was the only thing.

23 Q. And have you changed your opinions in any way  
24 concerning the TVT-R device from the time you issued that

1 report until the time we're sitting here today?

2 A. No, ma'am.

3 Q. Okay. I'm going to focus primarily, given the  
4 limited amount of time, on the TVT-O device.

5 A. All right.

6 Q. But before I get there, you know Ethicon makes  
7 a number of stress urinary incontinence devices that are  
8 called the TVT family of devices; correct?

9 A. Yes.

10 Q. And that includes the TVT Retropubic; correct?

11 A. Yes.

12 Q. The TVT Obturator, the TVT-O?

13 A. Yes.

14 Q. The TVT Secur, TVT-S?

15 A. Yes.

16 Q. The Exact?

17 A. Yes.

18 Q. And the Abbrevio; correct?

19 A. Yes.

20 Q. And the two products that you have issued  
21 reports for in connection with these WAVE 1 cases are the  
22 TVT-R, or the retropubic, and the TVT-O, the  
23 transobturator?

24 A. Yes.

1 Q. And you have not issued and do not hold  
2 opinions on any of the other products; correct?

3 A. That's correct.

4 Q. Okay. And you'll agree with me that a medical  
5 device manufacturer has a responsibility to design their  
6 product so as to minimize the potential for injury to  
7 patients; correct?

8 A. That's correct.

9 Q. And that -- you'll agree with me that, in  
10 order to do that, a device manufacturer must consider and  
11 understand the medical condition that the device is  
12 designed to treat; correct?

13 A. That's correct.

14 Q. And it must also consider and understand the  
15 anatomical location where that device is implanted;  
16 correct?

17 A. That's correct.

18 Q. Where is a TVT-R device implanted?

19 A. As I understand it -- and I may speak very  
20 generically -- that it is in the same location but  
21 different -- in a different way of implanting than the  
22 TVT-O.

23 Q. Okay.

24 A. One's inside-out and the other's outside-in.

1 That's my basic understanding.

2 Q. Okay. But it's your understanding that the  
3 implant site for both the TVT-R and the TVT-O are the  
4 same?

5 A. That's my understanding.

6 Q. Okay. Did anyone at Ethicon ever tell you  
7 that?

8 A. I can't recall. I believe I read that.

9 Q. Okay. Did you look at the instructions for  
10 use on where the two products were implanted?

11 A. Yes. That was the best of my recall.

12 Q. Do you know what the obturator space is?

13 A. Vaguely, anatomically, yes.

14 Q. Okay. Sitting here today, do you understand  
15 that the TVT Retropubic and the TVT Obturator are  
16 implanted into different locations in a woman's pelvis?

17 A. It was my understanding that the mesh  
18 material, essentially, winds up, more or less, in the same  
19 location.

20 Q. Okay. And is that understanding that you have  
21 concerning the two devices, did that factor into the  
22 opinions that you gave in this case and the reports  
23 identified as 14 and 15?

24 A. I would have to say yes, it did.

1 Q. Okay. And you agree with me that it's  
2 important, when you are designing a device or doing --  
3 scratch that.

4 You'll agree with me that it's important, when  
5 you're doing a risk assessment of a device, to understand  
6 where the device is going to be placed in the body;  
7 correct?

8 A. Yes.

9 Q. And you'll agree with me that it's important  
10 to understand the surgical approach that's going to be  
11 used to implant those different devices; correct?

12 A. Yes, and that's what I tried to understand.

13 Q. Okay. And you tried to understand that based  
14 on the documents that you looked at and identified in your  
15 reliance list?

16 A. Yes.

17 Q. Okay. Did you understand when you put -- do  
18 you have any understanding of whether the trocars that are  
19 used to implant the TVT-R are the same or different than  
20 the trocars that are used to implant the TVT-O?

21 A. It's my understanding that the TVT-O trocars  
22 are somewhat different.

23 Q. Okay. And they are a different shape;  
24 correct?

1 A. Yes.

2 Q. And that's used because there's a different  
3 surgical placement of the TVT-O over the TVT-R; correct?

4 A. It was my understanding that it was because of  
5 the surgical approach.

6 Q. Okay. And when you say surgical approach, are  
7 you referring to what you call the inside-out versus the  
8 outside-in approach?

9 A. Yes.

10 Q. And so it's your understanding that the  
11 trocars -- well, first of all, which one of these is  
12 implanted with the inside-out approach; do you recall?

13 A. Yes.

14 Q. Okay.

15 A. The TVT-O.

16 Q. Okay. Inside-out, let me ask if we're on the  
17 same page. Inside-out is when you go in through the  
18 vagina and you put the trocars out of the pelvis by  
19 introducing it through the vagina first?

20 A. That's my understanding.

21 Q. Okay. And the outside-in approach that you  
22 believe is used with the TVT-R is when you go from the  
23 outer area in the abdomen or the pelvis and you push the  
24 trocars through into the vagina and then pull them up

1 again; correct?

2 A. That's right.

3 Q. And it's your understanding that those  
4 different approaches, the inside-out versus the  
5 outside-in, are what account for the different shapes of  
6 the trocars that are used with the TVT-R and the TVT-O  
7 procedure?

8 A. That's my perception from reading the  
9 documents I read.

10 Q. Okay. And you took that -- those -- the  
11 difference in the trocars on the outside-in and the  
12 inside-out approach into account when you were preparing  
13 your reports on these two devices; correct?

14 A. Yes.

15 Q. Okay. I'm going to skip --

16 A. Not in a specific way of my judgment of their  
17 design, good or bad, but that they needed to be shaped  
18 differently because of the procedure.

19 Q. Okay. And since you're not opining on the  
20 TVT-S or the Exact or Abbrevio, we've short-circuited some  
21 of my exam today, so that's good.

22 And you'll agree with me that a medical device  
23 manufacturer, when making a permanent device for implant  
24 into the human body, has to be an expert on the material



1 that is being used with the product itself; correct?

2 A. They have to be knowledgeable and qualify the  
3 material, yes.

4 Q. And they have to be experts in the design of a  
5 product for a permanent medical implant; correct?

6 A. Yes.

7 Q. Okay. And they need to be experts in the  
8 anatomical location of where the product is intended to be  
9 placed?

10 A. No, and let me qualify. I don't know that  
11 they have to be specifically experts in the sense of an  
12 anatomist or a physician, but they certainly have to seek  
13 that expertise as a part of their design review.

14 Q. So fair enough. So let me ask you this a  
15 little bit differently.

16 When you're putting together a design team, a  
17 medical device manufacturer would need to consult with an  
18 expert on the particular anatomical location into which a  
19 permanent medical device was going to be implanted; right?

20 A. That's correct.

21 Q. And would have to seek out clinical advice or  
22 clinical information concerning the surgical procedure  
23 that was to be used by the product?

24 A. Yes. For the product, yes.

1 Q. For the product and the surgical devices that  
2 will be used by the product; correct?

3 A. Are you speaking of in association at the same  
4 time in the procedure? Is that what you mean by your  
5 question?

6 Q. Well, okay. Let me ask it a little bit  
7 differently.

8 The TVT-R and the TVT-O we've discussed had  
9 different trocars?

10 A. Yes.

11 Q. Ethicon needed to consult clinicians to  
12 understand the implications of using those different  
13 trocars with these procedures; correct?

14 A. Correct.

15 Q. And a medical device manufacturer, like  
16 Ethicon, has to consider the potential severity of a  
17 failure of this product, the effect of a failure of the  
18 product on a woman; correct?

19 A. That would be correct, in a general statement,  
20 yes.

21 Q. All right. And would also have to take into  
22 account the potential frequency of a complication  
23 associated with a product; correct?

24 A. That's correct.

1           Q.   And they would need to take into account the  
2   potential for the permanence of a failure of their  
3   particular product; right?

4           A.   Excuse me for -- I need to clarify.  
5   Permanence of --

6           Q.   Permanence of a complication.

7           A.   Permanence of the complication. I believe  
8   that would be true.

9           Q.   And would you agree with me that severity,  
10   frequency and permanence are three issues that need to  
11   be -- each need to be considered when assessing the  
12   particular risk from a device?

13          A.   No in the way you spoke of it because you're  
14   duplicating it, as I see it. So severity and then you  
15   said permanence. So the severity is incorporated in --  
16   excuse me, the permanence of the complication would be  
17   included in the risk assessment for severity. So you've  
18   added a third item that I think is incorporated into the  
19   term "severity."

20          Q.   Okay. But you'll agree with me that you could  
21   have a permanent complication that is not particularly  
22   severe; correct?

23          A.   I -- that's hypothetical. I don't know  
24   exactly what you might be speaking of.

1 Q. Okay. Well, let me maybe ask it in the --  
2 You could have a severe complication that  
3 arises from the use of a medical device that's not a  
4 permanent complication; correct?

5 A. Typically, they -- such -- such an adverse  
6 event would be scored lower in the -- in the risk  
7 analysis.

8 Q. Okay.

9 A. A transient -- a transient risk would be  
10 scored less severely but not always.

11 Q. Okay. So all I was trying to get at is  
12 there's the severity of how bad the complication would be.

13 A. Yes.

14 Q. There's a consideration of whether it's a  
15 transient complication versus a permanent complication;  
16 correct?

17 A. Which kind of goes with the bad, yes.

18 Q. Right. And then, how often that complication,  
19 the frequency of that complication?

20 A. That's correct.

21 Q. Okay. And it's your opinion, sitting here  
22 today, that Ethicon performed an appropriate risk  
23 assessment in 2003 on its TVT-O device before it went on  
24 the market; correct?

1           A.    I believe, in my review of it, that they did,  
2    yes.

3           Q.    Okay.  And it's also your opinion sitting here  
4    today that, after the launch of that product, Ethicon  
5    continued to engage in appropriate risk assessments  
6    through today, 2016, in association with its TVT-O  
7    product; correct?

8           A.    Yes.

9           Q.    And there's different ways to do risk  
10   assessment; aren't there?

11          A.    Yes.

12          Q.    And one of those ways can be through what is  
13   called an FMEA?

14          A.    Yes, ma'am.

15          Q.    And I think last time we were here, we talked  
16   about the different kinds of FMEAs that could be used, so  
17   I don't want to rehash that.

18                But you'll agree with me that Ethicon chose to  
19   use FMEAs as one of its ways to conduct risk analysis on  
20   the TVT-O device?

21          A.    Yes.

22          Q.    You've had a chance to look at Dr. Wilson's  
23   report, and actually, you provided some criticisms to that  
24   report; is that right?

1 MR. DAVIS: Object to the form.

2 A. I didn't realize Anne was a doctor, but yes.

3 BY MS. FITZPATRICK:

4 Q. Okay. And one of the things that -- that  
5 Ms. Wilson said was that Ethicon's own procedures required  
6 that if a similar device is used for risk assessment  
7 instead of the actual device, Ethicon had to demonstrate  
8 that the changes that had been made to the system would  
9 not introduce significant differences in the results of  
10 the risk assessment.

11 Do you agree, in principle, with that  
12 statement? And I apologize, I don't have a copy of her  
13 report in front of me. I used to have one. If you have  
14 one, I can --

15 MR. DAVIS: Talking about Anne Wilson's  
16 report?

17 MS. FITZPATRICK: Yeah.

18 MR. DAVIS: I'll be glad -- I think I've  
19 got a copy somewhere. Which report are you referring to;  
20 the TVT-O?

21 MS. FITZPATRICK: TVT-O.

22 MR. DAVIS: TVT-O? As long as you don't  
23 mind, you know, I may -- I may have some notes.

24 MS. FITZPATRICK: I just want -- you

1 know, it's just easier for her to look at the sentence in  
2 context.

3 MR. DAVIS: I don't mind her looking at  
4 my copy.

5 THE WITNESS: This is Anne's original  
6 report; right?

7 MR. DAVIS: TVT-O report.

8 BY MS. FITZPATRICK:

9 Q. I think it's the -- I'm pretty sure that it is  
10 on page 14, but let me just pull it up here. I'm sorry,  
11 page 11, and I'm just looking at the bottom full  
12 paragraph. I'm asking you if you agree with the first  
13 sentence of that paragraph, in principle?

14 A. She hasn't footnoted the procedure that she's  
15 speaking of.

16 Q. Do you --

17 A. So I --

18 Q. So you don't -- you can't agree or disagree  
19 with this statement? Does this reflect your understanding  
20 of Ethicon's procedures, or not?

21 A. These are the procedures that I've reviewed,  
22 and every one of these procedures has different revisions.

23 Q. Okay.

24 A. And so, when I speak of these procedures, I

1 have to have a context of the time and the location for  
2 these procedures. And so, in Anne's statement here, she's  
3 not given us any way to go and -- and confirm her  
4 statement.

5 Q. Okay.

6 A. If I recall that they --

7 Q. So you don't agree -- you don't believe that  
8 Ethicon had a procedure in place that stated that if a  
9 similar device system is used for the risk assessment  
10 instead of the actual device, the team must demonstrate  
11 that the changes that have been made to the system will  
12 not introduce significant difference in the results of the  
13 risk assessment?

14 MR. DAVIS: Object to the form.

15 BY MS. FITZPATRICK:

16 Q. I'm just wondering whether you agree or  
17 disagree with it?

18 MR. DAVIS: Object to the form.

19 A. Yes, I agree that it's reasonable that they  
20 would do that because that is the charge of various  
21 standards, but I can't confirm Anne's statement on page 11  
22 because I don't -- I don't know.

23 BY MS. FITZPATRICK:

24 Q. So fair enough. You -- you agree that the



1     concept is reasonable, but you don't know whether Ethicon  
2     had a procedure that implemented that concept; is that  
3     right?

4             A.     Precisely.

5             Q.     Okay. But you will agree with me that that  
6     concept is reasonable and expected in your line of work;  
7     right?

8             A.     That's correct.

9             Q.     Okay. Now, Ms. Wilson also identified some  
10    key differences between the TVT-R and the TVT-O.

11            Do you agree with her that there was a  
12    difference in the technique and points of fixation for the  
13    TVT-R and the TVT-O device?

14            A.     It's my understanding that that is the purpose  
15    of the TVT-O.

16            Q.     Okay. So you agree that there was a  
17    difference in them?

18            A.     Yes.

19            Q.     Okay. And she also identified a difference as  
20    implantation through the obturator membrane.

21            Do you agree with that?

22            A.     Yes.

23            Q.     Being a difference in the procedures?

24            A.     I agree with that.

1 Q. Okay. And do you agree that the mesh ends  
2 designed to accommodate the -- let me just put it in  
3 simpler terms, I think -- that the trocars are different  
4 between the two devices?

5 A. Trocars are different.

6 Q. Okay. Now, can you point me, sitting here  
7 today, to any document in which Ethicon conducted a risk  
8 assessment prior to the launch of the TVT-O that  
9 independently identified -- or excuse me, independently  
10 addressed the differences in the risks posed by the TVT-R  
11 trocars versus the TVT-O trocars?

12 A. It's my recall, but I would have to look at  
13 some documents. Would -- would you like for me to try to  
14 locate one?

15 Q. Well, let me ask you, it's your recall that  
16 there was a risk assessment that was done by Ethicon that  
17 looked at what new and independent risks would be posed by  
18 the TVT-O trocar over the TVT-R trocar?

19 A. Yes, I cannot recall if it was an FMEA style  
20 or if it was a general part of the design history record.  
21 I -- I'm rather vague in terms of the actual document.

22 Q. How long would it take you? Because I know  
23 we're under a time frame and I know you need to get out of  
24 here. So how long do you think it would take you to go

1 through these several binders that you have here to find  
2 that?

3 A. Less than 10 minutes.

4 Q. Okay. I'm going to -- I think I'm going to  
5 find a list of these things that I want you to find, and  
6 then I'm going to ask you to look for all of them at the  
7 same time. I think that might be a little bit easier for  
8 us to do that way.

9 A. If you'd like to try that, I'll do my best.

10 Q. Okay. And I appreciate that.

11 And do you know of any document or risk  
12 assessment in which Ethicon conducted -- scratch that.  
13 That's a bad question.

14 Can you show me any document in which Ethicon  
15 conducted a risk assessment that independently assessed  
16 the risks associated with the implantation of the TVT-O  
17 through the obturator membrane?

18 A. Independently assessed the TVT-O?

19 Q. Uh-huh (affirmative).

20 A. I believe that's the same question you had  
21 asked.

22 Q. No, what I was asking, if you had seen  
23 anything that looked specifically at the risks posed by  
24 the TVT-O trocar?

1 A. Oh, trocar versus --

2 Q. Versus, and then the second I'm asking is if  
3 you know any document that looks specifically at the risks  
4 of the surgical implantation site of the TVT-O versus the  
5 TVT-R?

6 A. Again, it's my recall that there is such a  
7 document, yes.

8 Q. Okay. You think that there's a document that  
9 supports both of those; right?

10 A. Yes.

11 Q. Okay. And are you -- well, let me -- let  
12 me -- you know what? Why don't you go ahead and find  
13 those documents for us because that will help us get  
14 through the other questions a lot quicker.

15 A. First I've got to do it this way.

16 MS. FITZPATRICK: I want to take a  
17 quick break.

18 (Whereupon, a recess was taken from  
19 1:37 p.m. to 1:43 p.m.)

20 THE WITNESS: So on page 19 of my TVT-O  
21 report.

22 BY MS. FITZPATRICK:

23 Q. Okay.

24 A. One of my references was Footnote 42, and this

1 particular footnote was out of that technical file --  
2 excuse me, design history file, so I don't know if you  
3 want the estimate number or how --

4 Q. Sure, estimation number is fine.

5 A. Okay.

6 Q. Is that what you have in front of you?

7 A. Yes.

8 Q. Okay.

9 A. That's Number 42.

10 Q. Okay.

11 A. Just give me one more second here.

12 (Discussion off the record.)

13 A. I can tell you out loud. I was just trying to  
14 explain the report.

15 So on page 19, the other footnote of interest  
16 is Number 41. That is a broader reference all-inclusive  
17 to the design history file, including, it says the design  
18 controls, risk analysis, and that is referencing different  
19 procedures.

20 Now, 42 specifically, is the TVT-O, DSA,  
21 not -- not called an FMEA, but it was a risk analysis, and  
22 on page 20 of my report, I have an excerpt directly from  
23 that risk analysis.

24 BY MS. FITZPATRICK:

1 Q. And so is it -- so I've got your testimony  
2 correct, what you note in Footnote 42 of your report,  
3 which is the document I have in my hand now, this is the  
4 document that you believe has independently looked at the  
5 risks posed by the trocars used by the TVT-O device as  
6 opposed to the risks posed by the trocars used with the  
7 TVT-R device?

8 A. That is my understanding as I read it, and  
9 that's what's described in the scope that I have on  
10 page 20.

11 Q. Okay. So anything that I would be looking for  
12 and that you would be relying on for your opinion that the  
13 trocars were independently assessed is going to be in this  
14 document that starts with ETH.MESH00259416; correct?

15 MR. DAVIS: Object to the form.

16 A. That's partly correct, partly not correct.  
17 The part that's correct is this is the DDSA, but at other  
18 times in the design history file, there are many  
19 references to the design verification and validation, and  
20 those were associated with mitigation of risks. So  
21 indirectly, they are incorporated in the risk analysis.

22 BY MS. FITZPATRICK:

23 Q. Okay. Now, taking a look at what you have on  
24 page 20 of your report.

1 A. Okay.

2 Q. And I think that references this DDSA.

3 A. Yes.

4 Q. Which stands for "Device Design Safety  
5 Assessment"; correct?

6 A. Yes.

7 Q. The DDSA that was done by Ethicon prior to the  
8 launch of the TVT-O, you'll agree with me it was done on  
9 the components of the device as opposed to the -- all of  
10 the components put together in a single device; correct?

11 MR. DAVIS: Object to the form.

12 A. May I look at that, please?

13 BY MS. FITZPATRICK:

14 Q. Sure. I'm just looking right on page 20 where  
15 you have, "Scope of the design safety assessment. Define  
16 the scope of this risk assessment." It says, "This risk  
17 assessment was completed on (check one): Device,  
18 subsystem or component."

19 A. That's what they checked, but --

20 Q. Okay. Ethicon may have been wrong when they  
21 said that. Go ahead and take a look.

22 A. No, the scope marked on components there, it's  
23 my understanding that this document asked questions that  
24 were broader than just single components. That's a part

1 of the DDSA. They have a checklist. The beginning  
2 questions are systems-related, and then when they reviewed  
3 it further, they incorporated more detail about the  
4 components.

5 Q. Okay.

6 A. That were specific to the components.

7 Q. But you'll agree with me that Ethicon, at  
8 least itself, considered that DDSA to be based on the  
9 components and not the device itself?

10 MR. DAVIS: Object to the form.

11 A. I believe that -- excuse me, sorry.

12 I believe that their perception was, and why  
13 they put components, was because the components were  
14 changing.

15 BY MS. FITZPATRICK:

16 Q. Okay. Did they perform a risk assessment on  
17 the entire device itself or did the risk assessment  
18 consist of looking at the components individually?

19 MR. DAVIS: Object to the form.

20 A. There's several revisions here and I just  
21 want to refresh myself a second.

22 As I tried to explain, the scope was because  
23 of the different components, but the qualitative and  
24 quantitative characteristics worksheet incorporates



1 questions that are system-related. For example, they  
2 speak in terms of sterilization. That would be a system  
3 level.

4 BY MS. FITZPATRICK:

5 Q. This case isn't about sterilization; is it?

6 MR. DAVIS: Object to the form.

7 A. Actually, what would be important is if, for  
8 example, the new components couldn't be sterilized in the  
9 same way as the TVT, that would have a big bearing on the  
10 potential for new risk associated with the new kit.

11 BY MS. FITZPATRICK:

12 Q. Do you -- is it your understanding that these  
13 lawsuits are about the inability to sterilize components  
14 of the TVT-O device?

15 A. Ma'am, I need to qualify that if there was a  
16 difference in sterilization that affected the  
17 sterilization of the entire system, the team would have to  
18 consider that, and that, certainly, would have impact on  
19 the mesh had they had to change the sterilization. So I  
20 would think that evaluating the question of sterilization  
21 as a system is very germane to their work in risk  
22 analysis.

23 Q. Okay. I don't want to be here late, so I want  
24 to just focus on --

1           A.    I know, but I'm trying to explain why.

2           Q.    I want to focus us on, though, on the stuff  
3   that I think is relevant to the lawsuit, so let me ask you  
4   the question again.

5                   Do you believe that this lawsuit has anything  
6   at all to do with the inability to sterilize the TVT-O  
7   system? Is that your understanding from talking to  
8   Ethicon's lawyers, that that has anything to do with this  
9   case?

10                   MR. DAVIS: Object to the form.

11           A.    I can't answer the question the way you've  
12   asked it because it -- in my due diligence and review of  
13   the documentation, regardless of what the attorneys would  
14   have spoken to me about, I had to consider whether or not  
15   when they did the change to the TVT-O, did they consider  
16   the impact on the system, including packaging and  
17   sterilization and all of the components. I would -- I  
18   would have to consider all of that as -- when I'm reading  
19   the risk analysis, did they consider that question; and  
20   yes, they did, because that could have impacted the  
21   properties of the mesh if they had had to change the  
22   sterilization cycle.

23   BY MS. FITZPATRICK:

24           Q.    Okay. What else besides sterilization and

1 packaging do you see as germane to a system-wide analysis  
2 of the risk posed by TVT-O devices when it's permanently  
3 implanted into women?

4 A. Well, another one is human factors. One of  
5 the most critical issues in the TVT-O was the difference  
6 in the surgical procedure, so if -- and as I understand,  
7 the TVT-O was desirable to reduce risks associated with  
8 the surgery of the standard TVT-R, particularly the  
9 bladder puncture, and so as these folks were looking at  
10 the use-related hazard worksheet, that is clearly a  
11 system-level review of the entire TVT-O product.

12 So they were compelled to do a revised risk  
13 assessment because of the changes to the component; thus,  
14 the check box, and then when they review the DDSA and go  
15 through the DDSA procedure, they're compelled to review  
16 all of these checks, and all of these checks are at a  
17 system level as well as component level.

18 Q. Okay. So you believe that Ethicon performed  
19 both a system risk assessment and a component risk  
20 assessment when introducing the TVT-O to the market?

21 A. Yes.

22 Q. Is that fair?

23 A. That's fair.

24 Q. Okay. And that -- you're getting that

1 information from the document that you've identified in  
2 Footnote 42 of your report?

3 A. And 41.

4 Q. Oh.

5 A. Because 41 included the mitigation activities,  
6 the physician consulting. In fact, it even included the  
7 pre-review of the risk associated with the TVT in general.

8 Q. Okay.

9 A. So the whole design history file, which we did  
10 not bring, is associated with mitigating risk.

11 Q. Okay. And one of the ways, you'll agree with  
12 me, that a company can mitigate risk is by providing  
13 warnings to physicians and patients concerning the risks  
14 inherent to a particular device; correct?

15 A. That's generally correct, yes.

16 Q. Okay. And so, Ethicon had an obligation to  
17 warn, through its IFU, physicians of the risks that are  
18 inherent in the TVT-R device; correct?

19 MR. DAVIS: Object to the form.

20 A. Generally stating, yes.

21 BY MS. FITZPATRICK:

22 Q. Okay. And they had an obligation to warn the  
23 physicians through the IFU about the risks that are  
24 inherent in the TVT-O device; correct?

1 MR. DAVIS: Object to the form.

2 A. It's hard to --

3 BY MS. FITZPATRICK:

4 Q. Just general. I mean, that's a general  
5 principle?

6 A. Like love of mother and apple pie.

7 Q. It's difficult to disagree?

8 A. Right, right.

9 Q. And you'd agree with me that the IFU has to  
10 incorporate in it the risks that are inherent in the  
11 specific product. So the IFU for the TVT-R should include  
12 warnings about the risks that are inherent to the TVT-R;  
13 correct?

14 MR. DAVIS: Object to the form.

15 A. I guess you're going with, "And thus, the  
16 TVT-O." I can't answer this question the way you have  
17 posed it.

18 BY MS. FITZPATRICK:

19 Q. Sure. Well, let me ask you to break it down.

20 There's an IFU for the TVT-R; correct?

21 A. Yes.

22 Q. And that's what a physician who's using the  
23 TVT-R can consult; correct?

24 A. Yes; that's correct.

1 Q. There's a separate IFU for the TVT-O; correct?

2 A. Yes.

3 Q. And that contains information for a physician

4 to look at who's using the TVT-O; correct?

5 A. Correct.

6 Q. And you would agree with me that the TVT-O IFU

7 should include the warnings about risks specific to the

8 TVT-O; correct?

9 MR. DAVIS: Object to the form.

10 A. If there are any specific ones --

11 BY MS. FITZPATRICK:

12 Q. Correct.

13 A. -- to the TVT-O that are different.

14 Since TVT-R came first, if there were new

15 changes, if the changes introduced new risks that rise to

16 the level of warning --

17 Q. Okay.

18 A. -- then they should be on the labeling decks.

19 Q. Okay.

20 A. That's mother and apple pie, yes.

21 Q. So if there's a difference in the risk

22 profile, assuming there's a difference in the risk profile

23 for the TVT-R versus the TVT-O, that should be reflected

24 in the instructions for use for each of those products;

1 correct?

2 MR. DAVIS: Object to the form.

3 A. Mother and apple pie, generally speaking,  
4 yes.

5 BY MS. FITZPATRICK:

6 Q. Okay. Are you aware that the FDA recently  
7 proposed to reclassify the trocars that are used with the  
8 TVT-R and the TVT-O from Class 1 to Class 2 medical  
9 devices?

10 MR. DAVIS: Object to the form.

11 A. I haven't been following that. No, I didn't  
12 appreciate that.

13 BY MS. FITZPATRICK:

14 Q. Let me go ahead and get this marked as  
15 Exhibit 16, and I'm going to give you the full copy. In  
16 the interest of time, to keep us -- I'm going to give you  
17 the couple pages that I'm using, but you have access to  
18 the full document if you want to take a look.

19 A. I probably won't be coming back to this, then.  
20 I can get this off my desk?

21 Q. No, don't get rid of that yet because you  
22 reminded me there's something I didn't follow up on.

23 A. Okay. I just want to close it so I don't get  
24 distracted. Okay.

1 (Whereupon, Exhibit 16 was marked.)

2 A. This is this (pointing)?

3 BY MS. FITZPATRICK:

4 Q. Yes. What I have here is I just copied the  
5 couple pages I'm going to use to save us some time from  
6 having to shuffle through the big thing.

7 A. Oh.

8 Q. But if you want the big one, it's in front of  
9 you for reference.

10 A. Thank you.

11 Q. So what I've handed you is a document that's  
12 entitled "Reclassification of Urogynecological Surgical  
13 Mesh Instrumentation" from the Food & Drug Administration,  
14 the Executive Summary dated February 26, 2016.

15 And that's about a month ago; correct?

16 A. Yes.

17 Q. And you, before being here today, you weren't  
18 aware that this had happened; correct?

19 A. I hadn't been following this, no.

20 Q. And no one from Ethicon told you there was a  
21 potential reclassification of the trocars from a Class 1  
22 to Class 2; correct?

23 A. I hadn't been notified, no.

24 Q. Okay. So what -- if you can take a quick look



1 at a couple of issues. The FDA, looking at -- I don't  
2 think I've got page 4 here. I think you've got page 4 on  
3 the bigger one, and I apologize. Was looking -- if you  
4 look at page 4 of the bigger one, I'm sorry, that's the  
5 only -- I don't have this copied right.

6 It states that, "The FDA believes that  
7 intraoperative --" I'm sorry, I want to make sure you're  
8 on the same page, it's about halfway down -- that "The FDA  
9 believes that intra-operative and peri-operative adverse  
10 events such as organ injury and perforation, hemorrhage  
11 and bleeding and nerve injury and pain can be reasonably  
12 attributed to the urogynecological surgical mesh  
13 instrumentation and not the surgical mesh."

14 Do you see that?

15 MR. DAVIS: Where are you reading from?  
16 I don't think she sees it.

17 A. I don't exactly see it.

18 BY MS. FITZPATRICK:

19 Q. I'm sorry, I should have had this page. I  
20 have it on page 4, but since I can't find it right now,  
21 I'll find it at a break and I'll come back to that  
22 question. But let me go on in page 5.

23 And so what the FDA is looking at here is at  
24 the bottom, what you'll see is that the FDA identified --

1 "The FDA identified a total of 463 MDRs using particular  
2 search criteria."

3 Do you see that right at the bottom here on  
4 page 5?

5 A. 483, okay.

6 Q. Yeah. 438.

7 A. I mean 438.

8 Q. A total of 438 of the MDRs were submitted by  
9 manufacturers; 14 by a user facility, and 11 were  
10 voluntary.

11 So I want to take a look on page 7 -- well,  
12 page 6 to 7, there's a Table 2 that summarizes the MDRs by  
13 manufacturer.

14 Do you know what an MDR is?

15 A. Yes.

16 Q. Okay. And can you tell me what an MDR is?

17 A. Medical device reports.

18 Q. And those are reports that are made of adverse  
19 events or complications that are experienced with a  
20 particular medical device; correct?

21 A. Sort of correct; medical device, serious  
22 injuries that are device-related.

23 Q. Okay.

24 A. And deaths.

1 Q. Okay. And those are required to be reported  
2 to the FDA; correct?

3 A. Yes, ma'am.

4 Q. Okay. And if you look at Table 2, it  
5 summarizes the MDRs related to the surgical -- the trocars  
6 by manufacturer, and if you look at page 7, it indicates  
7 that there were 90 reports, MDRs, submitted concerning the  
8 Ethicon trocars; is that right?

9 MR. DAVIS: Object to the form.

10 A. It's what is reported by FDA on page 7.

11 BY MS. FITZPATRICK:

12 Q. Okay. Correct. And you don't have any  
13 independent verification of these numbers, but I'm correct  
14 in what I'm reading here from the FDA?

15 A. From the FDA.

16 MR. DAVIS: Object to the form.

17 BY MS. FITZPATRICK:

18 Q. And do you have any reason to believe that the  
19 FDA is misrepresenting or mischaracterizing the MDRs that  
20 it has received concerning the Ethicon products?

21 MR. DAVIS: Object to the form.

22 A. Ma'am, "misrepresentation" could be an  
23 incorrect term. In my judgment, they could be listing in  
24 this table, not a misrepresentation, but a categorical

1 representation, which doesn't necessarily mean that they  
2 always get the categories right.

3 BY MS. FITZPATRICK:

4 Q. Okay.

5 A. I'll just give them that benefit of the doubt.

6 Q. Okay. Now, Boston Scientific Corporation,  
7 which is on the previous page, Boston Scientific has a  
8 higher MDR count than Ethicon; correct, just by sheer  
9 numbers?

10 A. According to the FDA.

11 Q. And I'm not asking you to endorse or adopt  
12 these numbers as correct or incorrect.

13 A. All right.

14 Q. But we'll just agree that, at least, this is  
15 what's reported here.

16 A. That's what it says.

17 Q. Okay. And the vast majority of the Boston  
18 Scientific MDRs are associated with the Pinnacle Pelvic  
19 Floor Repair Kit and the Uphold Vaginal Support System.

20 Do you see that?

21 A. That's what it says, yes.

22 Q. Now, is it your understanding that the Boston  
23 Scientific Pinnacle and Uphold devices do not use a trocar  
24 in the same way that the Ethicon products do?

1           A.    No, ma'am.  I have no understanding of the  
2   Boston Scientific products.

3           Q.    Have you ever heard of the Capio device?

4           A.    No, ma'am.

5           Q.    Okay.  So you just don't know?

6           A.    I do not -- do not know.

7           Q.    Okay.  Now, let me set that aside for a minute  
8   and let me mark this next one as Exhibit 17.  And, again,  
9   I've copied a few pages that I'm actually going to look  
10   at, but I'm going to give you the full document for you to  
11   take a look at if you'd like.

12                               (Whereupon, Exhibit 17 was marked.)

13   BY MS. FITZPATRICK:

14           Q.    And so, what you're looking at is a PowerPoint  
15   presentation that's entitled, "Reclassification of  
16   Urogynecological Surgical Mesh Instrumentation" from the  
17   FDA on February 26, 2016.

18           A.    Yes, ma'am.

19           Q.    Okay.  And if you look at the short one, just  
20   help us because it's hard to find these pages.

21           A.    Okay.

22           Q.    Now, the FDA did a review of published  
23   literature in support of its proposed reclassification;  
24   correct?

1 A. That's what they say, yeah.

2 MR. DAVIS: Object to the form.

3 BY MS. FITZPATRICK:

4 Q. And you'll agree with me that a review of  
5 published literature is something that a medical device  
6 manufacturer should do with respect to its own particular  
7 medical devices; correct?

8 A. It's a requirement as a part of the MDRs.

9 Q. Okay. And so Ethicon here has an obligation  
10 to monitor the medical literature and to educate itself on  
11 what is being reported about its pelvic organ prolapse and  
12 SUI products in the medical literature; correct?

13 MR. DAVIS: Object to the form.

14 A. It's my understanding as a part of the MDR  
15 process, most companies do do that, yes.

16 BY MS. FITZPATRICK:

17 Q. Okay. And so here, the FDA states that it  
18 conducted a review of the published literature. If you  
19 can turn to page 31, it's got a PowerPoint slide that  
20 outlines the methods that it used. If you could quickly  
21 read through that and ask me -- and let me ask you if you  
22 believe that the methods that the FDA sets forth on this  
23 slide are reasonable for a -- to conduct a review of  
24 published literature.

1           A.    No, I can't say that this would be sufficient  
2   information for me to know how well they did their job.

3           Q.    Okay.  Just so these methods --

4           A.    It doesn't --

5           Q.    I'm not asking you whether they did it right  
6   or they didn't do it right.

7                   Do these methods that are set forth, do those  
8   look reasonable to you as an expert here as reasonable  
9   methods for conducting a review of published literature?

10          A.    As I say, I don't have any key words to go by  
11   here.  This is a broad generalization of, I'm sure, what  
12   their methods were, so I can't evaluate this slide.

13          Q.    Okay.  So you can't say, one way or the other,  
14   whether these --

15          A.    It's not enough information provided here.

16          Q.    Okay.  Fair enough.  If you turn to page 32.  
17   Let me ask you, you've got a lot of experience with the  
18   FDA; right?

19          A.    I have some.

20          Q.    Okay.  And it's part of -- a significant part  
21   of the job that you've done for the past however many  
22   years; correct?

23          A.    Yes.

24          Q.    Do you have any reason to believe that the FDA

1 would do an improper review of published literature when  
2 they're looking at the reclassification of a surgical  
3 device?

4 MR. DAVIS: Object to the form.

5 A. Would they do an improper?

6 BY MS. FITZPATRICK:

7 Q. Uh-huh (affirmative).

8 A. I believe they could do an insufficient --  
9 incorrect, yes. Yes.

10 Q. Okay. How often do you think that the FDA  
11 does an insufficient or an --

12 A. I couldn't give you a number, but I've had  
13 experience where the key word searching and the  
14 methodology has not been as precise as it should be.

15 Q. Okay. Do you think Ethicon does a better job  
16 at their literature reviews than the FDA does?

17 MR. DAVIS: Object to the form.

18 A. I can't say that they do a better job, but I  
19 can give you an example of how they've done their job, and  
20 I think you'd see it's quite different from what's  
21 represented here by FDA.

22 BY MS. FITZPATRICK:

23 Q. Okay. So you think that Ethicon does a more  
24 thorough review of the medical literature than the FDA?



1           A.   Probably searching published literature is all  
2   in the key words, and not only the included words, but the  
3   way you exclude certain terms, and without looking at  
4   their methodology, I can't compare it to the Ethicon  
5   methods.

6           Q.   Okay. I was asking you more generally, but we  
7   don't -- and I don't want to spend your time on this.

8                   Taking a look at the bottom of page 31, it  
9   indicates that the FDA found 207 references that the FDA  
10  deemed to be relevant for its inquiry on this  
11  reclassification question; correct?

12          A.   Yes.

13          Q.   And if you look at the page 32, what the FDA  
14  has done here is it's divided up those 207 references into  
15  whether it's -- the reference refers to an SUI or a POP?

16          A.   Yes.

17          Q.   And then, whether it's a retropubic  
18  transobturator or mini-sling procedure for the SUI;  
19  correct?

20          A.   Yes.

21          Q.   And then, whether it's a transvaginal repair  
22  or an abdominal repair for the POP; correct?

23          A.   Yes.

24          Q.   Okay. And set forth those references.

1 Now, turning to page 33 here.

2 A. Excuse me.

3 Q. Sure.

4 A. May I just say something?

5 Q. You can say anything you want.

6 A. I think that these 207 that we're speaking of  
7 are broke down on 32, but I haven't actually confirmed  
8 that.

9 Q. Okay.

10 A. I mean, I'll take it at their face value.

11 Q. Fair enough. And on page 33, what the FDA  
12 does is it indicates that it extracted data from those 207  
13 studies for three major categories of adverse events;  
14 organ perforation and injury, second is vascular injury  
15 and bleeding, and third is nerve injury and pain.

16 Do you see that?

17 A. Yes.

18 Q. And this is specifically associated with the  
19 trocars?

20 A. Yes.

21 Q. Now, turning to page 34, the first thing that  
22 the FDA noted is -- or looked at is organ perforation and  
23 injury, and I want to focus here on the retropubic and the  
24 transobturator; okay?

1 A. Yes.

2 Q. And it found that the rate of organ  
3 perforation and injury associated with the retropubic  
4 trocar ranged between 0.3 percent to 23.8 percent;  
5 correct?

6 MR. DAVIS: Object to the form.

7 A. That's what they've written, yes.

8 BY MS. FITZPATRICK:

9 Q. And for the transobturator, they found that it  
10 ranged from 0.2 percent to 5.8 percent; correct?

11 MR. DAVIS: Object to the form.

12 A. That's what they've written.

13 BY MS. FITZPATRICK:

14 Q. That's what they've written.

15 And I'm not asking you to endorse these  
16 numbers, but assuming that these numbers are correct,  
17 you'll agree with me that there is a difference in the  
18 risk profile between the retropubic trocar and the  
19 transobturator trocar relative to organ perforation and  
20 injury; correct?

21 MR. DAVIS: Object to the form.

22 A. No, ma'am, I can't say correct because --

23 BY MS. FITZPATRICK:

24 Q. Tell me why.

1           A.    Because we're talking about a literature  
2   search that went all the way back to 1997, and considering  
3   the time involved and how these particular numbers are  
4   laid out here, I would expect the retropublic to be higher  
5   because it's an older product and it goes back to '97, and  
6   in this circumstance of this table, I -- I can't make out  
7   any useful information other than this is how they broke  
8   their numbers down.

9           Q.    Okay.

10          A.    I mean, it's math.  If you agree with the  
11   math, but I don't know, looking at this, anything more  
12   than that.  So I -- I don't feel qualified to give you any  
13   information here about this chart.

14          Q.    What does "rate" mean to you?

15          A.    It's a percentage, but what I'm saying is I  
16   don't know if this is with respect to time.  I mean, we  
17   could have -- when a new product comes out, you could have  
18   a big burst and then they taper off, and FDA's not  
19   provided any kind of temporal characterization of these  
20   numbers.  So this is -- you know, you could take it for  
21   what it's worth, but I don't have enough information to  
22   make any judgment on this chart.

23          Q.    Okay.  Does it raise questions, at least, as  
24   to whether there's a difference in the rates between organ

1 perforation and injury for retropubic and transobturator?

2 A. It raises question --

3 MR. DAVIS: Wait. Object to the form.

4 A. Sorry. It raises questions in my mind how  
5 these numbers were derived, and I just saw this for the  
6 first time today, and I don't have any opinion about this  
7 document (pointing) or FDA's numbers. I can tell you  
8 whether or not I think they did the math right, but I  
9 can't give you any opinion about this document.

10 BY MS. FITZPATRICK:

11 Q. Well, sure you can. Let me ask you a  
12 hypothetical question.

13 Assuming -- and we're going to assume, I'm not  
14 asking you to endorse the FDA numbers but assuming that  
15 the FDA numbers are right, if there's a risk of organ  
16 perforation and injury from 0.2 to 5.8 percent with the  
17 retropubic procedure and a risk of organ perforation and  
18 injury from a retropubic procedure that goes from 0.3 to  
19 23.8 percent, assuming that those are correct numbers, you  
20 would agree with me that there is a difference in the risk  
21 profile between the retropubic trocar and the  
22 transobturator trocar when it comes to organ perforation  
23 and injury; correct?

24 MR. DAVIS: Object to the form and asked

1 and answered. Go ahead.

2 A. I will state that I cannot -- cannot confirm  
3 your statement. I do not agree with your statement.

4 There's not enough information here to agree with your  
5 statement or disagree with your statement because these  
6 numbers are not reflecting the -- anything about the  
7 learning curve, the time reference.

8 BY MS. FITZPATRICK:

9 Q. Okay.

10 A. All these -- all these folks did was they went  
11 to 1997 and grabbed up a bag of articles from the  
12 literature, went through and counted numbers, then they  
13 divided those numbers into categories. And when you see a  
14 number that ranges from .3 to 23.8, in my world, that  
15 should immediately cause me to wonder how did you get that  
16 big a swing of numbers, and so I would need to look at the  
17 54 versus 74 papers that they're getting those numbers out  
18 of. I couldn't give you any information about this chart.

19 Q. Okay. Fair enough.

20 Did Ethicon, in any of the documents -- and  
21 you've got a lot of binders around this room and you've  
22 got a lot of documents you looked at -- have you seen  
23 anything where Ethicon attempted to do what the FDA has  
24 done in this document?

1 MR. DAVIS: Object to the form.

2 A. I don't know what FDA attempted to do in this  
3 document, but I have certainly seen clinical evaluation  
4 reports where Ethicon has reviewed literature over a  
5 certain period of time, they've stated in their document  
6 how they did their literature searches, and they've  
7 reported the incidences that they found in the literature.

8 And so, I believe on a par with looking at the  
9 clinical experiences that Ethicon has, persistently  
10 through the years, monitored the literature, and thus, the  
11 complication rates reported in that literature.

12 BY MS. FITZPATRICK:

13 Q. Do you believe that Ethicon has an obligation  
14 to be monitoring the medical literature to see if there's  
15 a difference in their risk profile for the retropubic  
16 trocar versus the transobturator trocar? Is that  
17 something Ethicon should be doing?

18 MR. DAVIS: Object to the form.

19 A. I don't know what you mean by "risk profile,"  
20 but I believe that Ethicon does that and should be doing  
21 it, yes.

22 BY MS. FITZPATRICK:

23 Q. Okay. And so you -- you believe that  
24 somewhere in all of the documents you have -- and I can

1 look at from your report -- I will find in there a place  
2 where Ethicon has looked specifically at whether there's a  
3 difference between the risk of organ perforation and  
4 injury from the retropubic trocar versus the risk of organ  
5 perforation and injury from the transobturator trocar?

6 MR. DAVIS: Object to the form.

7 A. Specifically, I can tell you there is a report  
8 comparing the -- well, I should say complications and  
9 complaints, evaluated differently between the two systems,  
10 and I can't recall if they broke it down to  
11 instrumentation.

12 BY MS. FITZPATRICK:

13 Q. Okay. And knowing that you haven't looked at  
14 this report, let me just ask you a general question on  
15 page 35.

16 Do you agree with me that Ethicon should be  
17 looking at whether there's a difference in the risk  
18 profile between the TVT-R trocar and the TVT-O trocar for  
19 vascular injury and bleeding associated with those  
20 devices?

21 MR. DAVIS: Object to the form.

22 A. I can't say from recall if that was conducted,  
23 but I can say that that would be a reasonable thing to be  
24 doing.



1 BY MS. FITZPATRICK:

2 Q. Okay. And would it also be reasonable to  
3 expect Ethicon to be looking at the relative risk profile  
4 of nerve injury and pain caused by the TVT-R trocar versus  
5 the TVT-O trocar?

6 MR. DAVIS: Object to the form.

7 A. If their information is broken out that -- in  
8 that detail, it would be reasonable. I believe that I can  
9 recall a document that did that.

10 BY MS. FITZPATRICK:

11 Q. Okay. And you would agree with me that if  
12 Ethicon concluded that there was a difference, a  
13 significantly higher risk of a complication from the TVT-R  
14 device versus the TVT-O device, that information should be  
15 reflected in the instructions for use that are given to  
16 physicians; correct?

17 MR. DAVIS: Object to the form.

18 A. I can't say that that would be true. No, I  
19 don't know that.

20 BY MS. FITZPATRICK:

21 Q. Okay. Well, let me ask you this.

22 A. May I clarify?

23 Q. Well, let me clarify that -- maybe that will  
24 help -- maybe that will help.

1 MR. DAVIS: If you need to explain your  
2 answer -- if you need to explain your answer.

3 THE WITNESS: I just want to explain the  
4 answer there.

5 MR. DAVIS: You're entitled.

6 BY MS. FITZPATRICK:

7 Q. Sure, go ahead.

8 A. When -- when we're looking at differences  
9 sometimes and whether or not that difference needs to get  
10 to the literature, you have to also appreciate the  
11 differences in practices and preferences. So if you were  
12 just to take a number and say, "Well, this number is  
13 higher and that number is lower," that doesn't necessarily  
14 compel you to go out and say, "This week, it was higher  
15 and the other one was lower." You don't react in that way  
16 just because you find some anomalies in numbers for a  
17 while, particularly when a new product is being  
18 introduced. So that's what I wanted to clarify.

19 Q. Okay. But just to clarify for right now, the  
20 TVT-O's been on the market for a long time. It's not a  
21 new product that was just introduced; correct?

22 A. It's not new.

23 Q. Okay. Let me mark this as Exhibit 18.

24 (Whereupon, Exhibit 18 was marked.)

1 BY MS. FITZPATRICK:

2 Q. Ms. Duncan, do you know what a meta-analysis  
3 is?

4 A. Yes.

5 Q. Okay. And what I've put in front of you is a  
6 meta-analysis that was done by a Dr. Megan Schimpf and  
7 published in 2013 concerning sling surgeries for stress  
8 incontinence in women.

9 A. Okay.

10 Q. And I'm going to represent to you that this  
11 article is one that your gynecology experts for Ethicon  
12 have relied on for their opinions concerning both the  
13 TVT-R and TVT-O device in this case; okay?

14 And what I want you to do is I want you to  
15 turn to Table 4, which is on page 71.E11; okay? And about  
16 halfway down Table 4, there's a section entitled,  
17 "Retropubic vs. Obturator Midurethral Slings."

18 Do you see that?

19 A. Yes.

20 Q. And what they say here is, "For women  
21 considering retropubic or transobturator midurethral  
22 sling, we recommend either intervention for objective or  
23 subjective cure."

24 And that means how well it cures the SUI;

1 correct, or corrects the condition?

2 MR. DAVIS: Object to the form.

3 A. I would have to read more, but if you say so.

4 BY MS. FITZPATRICK:

5 Q. Okay. "And that decision be based on which  
6 adverse events are of greatest concern to the patient."

7 Do you see that?

8 A. I see that.

9 Q. And then underneath, it says, "Retropubic  
10 slings result in lower rates of sling erosion, need to  
11 return to operating room for treatment of sling erosion,  
12 groin-like pain and vaginal perforation."

13 Do you see that?

14 A. I see that.

15 Q. And underneath, it says, "Transobturator  
16 midurethral slings result in shorter operative time, fewer  
17 bladder urethral perforations, less perioperative pain,  
18 fewer urinary tract infections and less overactive bladder  
19 symptoms."

20 Do you see that?

21 A. Yes, I see that.

22 Q. Now, you will agree with me that, according to  
23 this Table 4, Dr. Schimpf is indicating that there's a  
24 different risk profile for the retropubic slings versus

1 the transobturator slings; correct?

2 MR. DAVIS: Object to the form.

3 A. The context is within this paper and the  
4 metadata analysis they did. I can't agree with that  
5 declarative statement you just made. Within the context  
6 of the paper and the metadata that she's looking at,  
7 obviously, this is the conclusion she's made.

8 BY MS. FITZPATRICK:

9 Q. Okay.

10 A. I don't know for a fact how that would be  
11 reflected in other practice.

12 Q. Well, let me ask you it differently.

13 If Ethicon's experts, urogynecological and  
14 gynecological and neurology experts, the medical experts,  
15 have testified that this paper does, indeed, indicate that  
16 there are different risk profiles for the retropubic and  
17 the transobturator midurethral slings, you would have no  
18 reason to disagree with those expert opinions; would you?

19 MR. DAVIS: Object to the form.

20 A. This is outside my field of expertise.

21 BY MS. FITZPATRICK:

22 Q. So you would have no reason to disagree with  
23 those. You would defer to those -- maybe a better way,  
24 you would defer to Ethicon's clinical experts on the

1 different clinical --

2 A. It's outside my expertise.

3 MR. DAVIS: Let her finish her question.

4 THE WITNESS: Sorry.

5 BY MS. FITZPATRICK:

6 Q. Do you defer to the Ethicon clinical experts  
7 on the clinical differences in the risk profiles of the  
8 retropubic versus transobturator slings?

9 A. Yes, I do.

10 Q. Okay. Now, what does Ethicon consider to be a  
11 Legacy product?

12 A. It is my recall that the term "Legacy product"  
13 with respect to the documents that I was looking at, I  
14 don't know if they use it in a different terminology  
15 elsewhere, but within the context of these topics, it  
16 means the products that were introduced to the marketplace  
17 prior to the issuance, adoption to use your word, of  
18 ISO 14971.

19 Q. Okay. And there are a series of risk  
20 assessments that were done on those Legacy products;  
21 correct?

22 A. There have been a number, yes.

23 Q. Okay. And you know that the Legacy products  
24 include the TVT-R and the TVT-O; correct?

1 A. Say it again, I'm sorry.

2 Q. That the Legacy product risk assessments  
3 include the -- apply to the TVT-R and to the TVT-O;  
4 correct?

5 A. They characterize them as Legacy products,  
6 yes.

7 Q. Okay. And those risk assessments for the  
8 Legacy products that you're talking about don't  
9 differentiate between whether those products are  
10 mechanically-cut or laser-cut; do they?

11 A. It's my recall they do not.

12 Q. And they don't differentiate between whether  
13 it's a retropubic approach or a transobturator approach;  
14 correct?

15 A. I can't recall if they broke those out or not.

16 Q. And those Legacy product documents create a  
17 risk profile for the whole family of the Legacy  
18 document -- the Legacy products out there, collectively,  
19 as opposed to individually breaking those out; correct?

20 MR. DAVIS: Object to the form.

21 A. I'm not clear on your term "risk profile."  
22 Can you tell me what you mean by that? It's not a term I  
23 use.

24 BY MS. FITZPATRICK:

1           Q.    I should be using the term "risk assessment,"  
2   not risk profile.

3                   So the risk assessments in the Legacy products  
4   that we're talking about create a single risk assessment  
5   for the TVT-R mechanically-cut, the TVT-R laser-cut, the  
6   TVT-O mechanically-cut, the TVT-O laser-cut. They create  
7   a risk assessment for those -- all of those products  
8   together; correct?

9           A.    Ethicon's Legacy Risk Report combined those  
10   products, yes.

11          Q.    Okay.

12                   MR. DAVIS: Can we stop just one second?  
13   I just want to know how much time we've been on the  
14   record?

15                   THE REPORTER: We have -- in 14 minutes,  
16   we'll be at four hours.

17                   MR. DAVIS: Okay.

18                   MS. FITZPATRICK: I'm not even going to  
19   get to 14 minutes.

20                   MR. DAVIS: Okay. I just didn't know if  
21   you had any follow-up after I ask questions. That's fine,  
22   go ahead.

23   BY MS. FITZPATRICK:

24          Q.    In looking at the -- you've reviewed the IFUs



1 for the TVT-R and TVT-O?

2 A. Yes.

3 Q. Have you ever compared the warnings,  
4 precautions, contraindications, adverse events that are  
5 set forth in the TVT-R versus the TVT-O IFU?

6 A. I did not do that.

7 Q. Okay. So you don't know whether those  
8 warnings, contraindications, adverse events, whatever  
9 Ethicon calls them, are the same for both products or  
10 different for those products; do you?

11 A. I did the report separately, so I don't -- I  
12 did not compare. That was not a part of it.

13 Q. Okay.

14 MS. FITZPATRICK: Can you give us one  
15 minute?

16 MR. DAVIS: Sure. We'll be right out  
17 here.

18 (Whereupon, a recess was taken from  
19 2:31 p.m. to 2:37 p.m.)

20 MR. DAVIS: Did you have something you  
21 wanted to --

22 MS. FITZPATRICK: Well, let me end and  
23 you can make it part of -- part of your questioning. I  
24 don't have any further questions for you at this time.

1 THE WITNESS: Okay.

2 EXAMINATION

3 BY MR. DAVIS:

4 Q. Ms. Duncan, did I hear you say you had  
5 something you wanted to say?

6 A. Yes. During the bathroom break, it occurred  
7 to me I had misspoken of inside-out and outside-in, so I  
8 want to clarify that. The obturator approach is  
9 inside-out, and the other was outside-in, so instead of  
10 outside in.

11 So the outside in -- I'm not sure which way I  
12 had it. Outside in, the original TVT-R had the potential  
13 for the bladder perforation. So the design for the new  
14 obturator approach is going inside-out, and I don't know  
15 if I had that right or not when I spoke, but then I got to  
16 thinking maybe I hadn't. But the outside in was the  
17 original TVR and it had the higher observed bladder  
18 perforation at the time when they were doing the risk  
19 assessment. That's my clarification, okay.

20 MR. DAVIS: Can I proceed?

21 MS. FITZPATRICK: Yeah.

22 BY MR. DAVIS:

23 Q. I just have several questions.

24 Ms. Duncan, when you were asked questions

1 today, I know a number of the questions you were asked,  
2 counsel opposite would use the word "safe" in the  
3 question.

4 A. Yes.

5 Q. And you would, then, answer the question.

6 A. Yes.

7 Q. In answering those questions where they were  
8 using the word "safe," what definition were you applying  
9 in your mind for the word "safe"?

10 A. The safety of the product certainly has to be  
11 considered in the context of the alternative therapies,  
12 and the unknown risks associated with the product,  
13 that's -- when I think of safe, I'm thinking there's no  
14 unexpected risks and the alternatives would have  
15 comparable risk or worse. So if I'm making a safer  
16 product, I would be safer than the alternative surgical  
17 procedure or methods that would be available to me.

18 Q. And you've mentioned ISO 14971. In answering  
19 those questions, were you applying a different definition  
20 of safe than what appears in ISO 14971?

21 A. No, I think that's comparable to 14971.

22 Q. And then, another question, series of  
23 questions that you were asked about, if Ethicon knew about  
24 certain risks, should they be in the IFU.

1                   Do you recall, generally, some of those  
2     questions?

3                   A.     Yes.

4                   Q.     And I wrote down one of your answers.  You  
5     said, "Risks that rise to the level of warning."

6                   Can you explain what you meant by that?

7                   A.     In the FDA and the European standards, we talk  
8     in terms of -- we see them talk in terms of precautions,  
9     cautions and warnings.  So a precaution is an effort I  
10    would need to do in advance because failure to do so may  
11    cause a harm, and a caution says I could have a harm if  
12    certain factors combine together, and then a warning is at  
13    a level where it is known that certain conditions can --  
14    can create a harm if you fail to take certain precautions.  
15    So a warning is more of a certainty than a -- than a harm  
16    that only might occur under certain conditions.

17                  Q.     And you were asked about whether you could  
18    base your -- or whether you had to have FDA standards in  
19    your report in order to justify your opinions in your  
20    report.

21                  Do you recall, generally, those questions?

22                  A.     Yes.

23                  Q.     And can you -- can you explain to the Court to  
24    what extent, if any, you based your report on Ethicon's --

1 Ethicon's own internal procedures?

2 A. Ethicon, at a certain point in their own  
3 history, began to incorporate, based on jurisdictions,  
4 certain international standards as well as U.S. guidance  
5 documents. So the procedures at the troop level governed  
6 the activity of the troops.

7 So when Ethicon converts standards and  
8 practices into their procedures, those are the activities  
9 that the specific employees are expected to employ.  
10 Ethicon does not expect that, on an ad hoc basis, people  
11 just go and grab guidances and the like to do their job;  
12 they want them to follow standardized procedures, which  
13 have incorporated the currently-adopted guidances and  
14 standards on a global level wherever Ethicon practices  
15 their sales.

16 Q. Okay. And if the Court decides that the Court  
17 does not want to hear any testimony or any opinions based  
18 on FDA standards, does that present any problem for you to  
19 present your opinions?

20 A. No, I would be referring to the Ethicon  
21 procedures and had Ethicon implemented their own internal  
22 procedures for these various obligated activities, but  
23 keep in mind that, even practicing 14971 for risk  
24 assessment, it's incumbent upon us to understand the rules

1 of the land that we're operating in. So if I go to  
2 Canada, I have to do my risk analysis in the context of  
3 any specific Canadian regulations, and if I go to  
4 Saudi Arabia, then I do my risk assessments incorporating  
5 those.

6 And so, when you have an international  
7 worldwide company, their procedures have to reduce those  
8 unique regulatory requirements and standard adoptions into  
9 their procedures on an ongoing basis. That's why you see  
10 revision after revision after revision, because different  
11 standards are adopted in different jurisdictions at  
12 different times.

13 Q. That's all I got.

14 REEXAMINATION

15 BY MR. WALLACE:

16 Q. You were just asked by Mr. Davis about whether  
17 or not you could speak to the -- well, let me ask it a  
18 different way.

19 You were asked whether or not certain  
20 regulations, whether or not you could testify with or  
21 without those regulations, including FDA regulations.

22 Do you recall what he just asked you about  
23 that?

24 A. I recall it.

1 Q. And what I think I heard, and you tell me if  
2 I'm wrong, is you said it wouldn't change my analysis of  
3 their internal standards because those changed over time  
4 in response to different standards that were adopted  
5 around the world.

6 That's what you said; right?

7 A. Yes.

8 Q. Now, coming back to that, you also said that,  
9 if I understand you correctly -- and again, tell me if I'm  
10 wrong -- that you really have to put your opinions in the  
11 context of the regulatory environment in which those  
12 entities operate; right?

13 MR. DAVIS: Object to the form.

14 A. When I did my assessment, I had to appreciate  
15 where the work was being done, where the product was being  
16 sold and the time that it was being sold.

17 BY MR. WALLACE:

18 Q. Okay. So let's take the United States, for  
19 example.

20 As I understand what you're saying, you cannot  
21 offer your opinions without considering how the FDA  
22 regulations would apply to Ethicon in the United States;  
23 right?

24 A. I can offer the opinions and exclude different

1 countries, if that's what the job is, but now you're --  
2 the request that was given of me was to assess the scope  
3 of design control and review, risk management and how the  
4 various companies of Ethicon implemented those activities.

5 Q. Okay. But to answer my question, though, I'm  
6 specifically talking about the United States and this  
7 product being sold in the United States; okay?

8 So with that in mind, forgetting about other  
9 countries for a moment, can you tell us, as you sit here,  
10 whether or not your opinions would be the same if the FDA  
11 regulations were removed from your analysis?

12 A. Yes, they would be the same.

13 Q. Why is that?

14 A. Because I was looking at the documentation of  
15 the three products for the evidence of compliance with  
16 their internal standards, as well as other obligations.

17 Q. Okay. So that's what I'm getting at.

18 You can say your opinion would be, then,  
19 slightly revised to say, "I can tell you that they  
20 complied with their internal standards;" right?

21 MR. DAVIS: Object to the form.

22 BY MR. WALLACE:

23 Q. But when we're referencing external standards,  
24 you wouldn't be able to give that portion of your opinion?



1 MR. DAVIS: Object to the form.

2 A. I would still be able to give that. I think  
3 that's what I did. I'm not following -- I mean, if I  
4 looked at the procedures, I also looked at the standards  
5 that were in place at the time, and not only the  
6 standards, but with respect to time. So which standards  
7 were in effect in which location with respect to time.

8 BY MR. WALLACE:

9 Q. Okay. We're talking about the United States,  
10 though. Keep that in mind.

11 A. Okay.

12 Q. Just for this line of questioning.

13 You're able -- your opinions would change in  
14 the sense that you can talk about whether or not Ethicon  
15 followed its own internal standards in the U.S. and if the  
16 FDA piece was removed from your analysis, if I hear you  
17 correctly, what you're saying is, "I, then, wouldn't be  
18 talking about external standards as it relates to this  
19 product's performance in the United States."

20 MR. DAVIS: Object to the form, and  
21 asked and answered.

22 A. That's not a correct statement, as I  
23 understood you to say it. That's very difficult to  
24 understand.

1 I've said before that a job was what I  
2 referred to as due diligence, looking back at the records,  
3 how they complied with procedures and the procedures that  
4 were enforced with respect to regulations and standards in  
5 the jurisdictions with respect to time, and I can  
6 certainly eliminate the question of how did they deal with  
7 Canada or how did they deal with U.S. or how did they deal  
8 with Saudi Arabia and still make an expert opinion on  
9 their diligence with respect to design control and review  
10 and risk management.

11 BY MR. WALLACE:

12 Q. Okay. So what standards would apply in the  
13 U.S. if you removed the FDA analysis that you've done in  
14 your reports?

15 A. What standard would apply in the U.S.?

16 Q. What external standards would apply in the  
17 U.S.?

18 A. I think -- I can give you one example;  
19 ISO 10993.

20 Q. Okay. What else?

21 A. I think, to some extent, the ISO 14971 would  
22 still apply.

23 Q. You agree with Ms. Wilson in that context if  
24 that's her testimony?

1 A. I can't hear you very well.

2 Q. You would agree with Ms. Wilson, if that was  
3 her testimony, that that standard would apply?

4 MR. DAVIS: Object to the form.

5 A. Not with respect to time. You can't -- I  
6 mean, you're asking me in a very general way, but I have  
7 to bring you back to that all of this work had to be done  
8 with respect to the time in which it was done. No single  
9 product stayed still.

10 BY MR. WALLACE:

11 Q. What -- what other standards would apply?

12 A. Depending on the time frame you're talking  
13 about, it may or may not have been EN 1441, depending on  
14 the location you're speaking of --

15 Q. U.S.

16 A. -- it may have been an EN standard.

17 If you make a product in the U.S. and you sell  
18 it to another country, you have to abide by those country  
19 rules you're selling it into, so --

20 Q. Again, my questions are limited right now for  
21 selling to women in the United States.

22 A. All right.

23 Q. That's what -- these women that you -- you  
24 realize that you've been designated to testify with

1     respect to women that have brought claims in U.S. courts;  
2     correct?

3             A.     Yes.

4             Q.     And under the U.S. legal system?

5             A.     Yes, sir.

6             Q.     And you've offered opinions on that; right?

7             A.     Broadly speaking, all of the standards.

8             Q.     So my question remains:

9                     Tell me what other standards that would apply  
10     in the United States that would apply to these women that  
11     have brought these claims against Ethicon.

12             A.     Sterilization standards, packaging standards.  
13     There's a host of standards that all the medical device  
14     companies, regardless of their location, attempt to  
15     satisfy for various reasons. Maybe it's because of  
16     regulatory, but maybe because of an understanding of the  
17     state of the art.

18             Q.     Are there any standards that are not listed in  
19     your report that you want to tell us about today that  
20     might apply?

21             A.     I can't answer that. I don't -- I don't know.  
22     Now you're asking me something that was not specific -- I  
23     mean, I wasn't asked to look at a -- list in my report all  
24     of the applicable standards. That was not the scope of

1 the report. I could go do that.

2 Q. Let me ask you a question that would, perhaps,  
3 short-circuit your concern that you weren't asked to do  
4 certain things.

5 Are all the standards that would apply to your  
6 opinions listed in your reports?

7 A. I'll say the vast majority. I can't say that  
8 they're all listed individually, and if you will allow me,  
9 that is because some of these standards existed by  
10 different names in different locations. Even ANSI, AAMI,  
11 ISO will sometimes even change the name of a standard when  
12 they adopt it, so you're asking a very broad question that  
13 needs a very specific answer.

14 THE REPORTER: AAMI?

15 THE WITNESS: A-A-M-I.

16 MR. WALLACE: I'm done.

17 REEXAMINATION

18 BY MR. DAVIS:

19 Q. I have a follow-up on what you just said.

20 Ms. Duncan, you brought with you, I noticed,  
21 various Ethicon's internal procedures.

22 Have I placed before you the book on  
23 PR602-003?

24 A. Yes.

1 Q. And can you look -- is it -- do you have a  
2 recollection as to whether Ethicon, itself, in its  
3 procedure, would list -- did it have a practice of listing  
4 references to the various standards that they're applying  
5 in developing their own procedures?

6 A. They did, yes.

7 Q. And do they list ISO, for example, ISO 13485  
8 as one of the standards that they have opted to choose in  
9 their own PR602-003 as a basis for compliance?

10 A. That was an example in 1996, and then they  
11 also referenced ISO 9001, which is not even a medical  
12 standard, and a Canadian standard that is listed here, and  
13 that's what I was trying to describe; that on any given  
14 time we have a procedure, we have to look at the scope of  
15 references in the back that were being considered when  
16 they were writing the procedure, and this list may change.

17 Q. And as I recall, I understand that, in your  
18 report, you explained that the FDA has not, itself,  
19 adopted ISO 13485?

20 A. That's correct.

21 Q. Or when I say "adopted," I mean recognize it  
22 as a consensus?

23 A. That's right.

24 Q. But since Ethicon listed, in development of

1 its own procedures, did you consider the extent to which  
2 Ethicon's files on these various products met the  
3 requirements for 1345?

4 A. I certainly did, and I even went and got  
5 copies of those older standards to look at them.

6 Q. And so, if the Federal Court does not allow  
7 testimony and opinions concerning compliance with FDA  
8 standards, have your opinions addressed Ethicon's  
9 compliance with ISO 13485 with respect to these products?

10 A. Certainly.

11 Q. And what about ISO 14971?

12 A. To the extent that it was applicable at the  
13 time that Ethicon was doing their work, yes.

14 Q. That's all I have.

15 MS. FITZPATRICK: Nothing further.

16 MR. DAVIS: Read and sign.

17 MR. WALLACE: We'd like it expedited.

18 Need it Monday.

19 (The deposition of Elaine Duncan  
20 concluded at approximately 2:58 p.m.)

21 \* \* \* \* \*

22

23

24

CERTIFICATE

I, Barbara J. Carey, a Registered Professional Reporter and Notary Public for Anoka County, Minnesota hereby certify that I reported the Deposition of Elaine Duncan, on the 31st day of March, 2016, in Minneapolis, Minnesota, and that the witness was by me first duly sworn to tell the whole truth;

That the testimony was transcribed under my direction and is a true record of the testimony of the witness;

That I am not a relative or employee or attorney or counsel of any of the parties or a relative or employee of such attorney or counsel;

That I am not financially interested in the action and have no contract with the parties, attorneys, or persons with an interest in the action that affects or has a substantial tendency to affect my impartiality;

That the right to read and sign the deposition by the witness was not waived;

IN WITNESS WHEREOF, I have hereunto set my hand this 4th day of April, 2016.

---

Barbara J. Carey  
Registered Professional Reporter  
Notary Public



Elaine Duncan

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2 E R R A T A

3 - - - - -

4 PAGE LINE CHANGE

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1 ACKNOWLEDGMENT OF DEPONENT

2

3 I, \_\_\_\_\_, do

4 hereby certify that I have read the foregoing pages, and

5 that the same is a correct transcription of the answers

6 given by me to the questions therein propounded, except

7 for the corrections or changes in form or substance, if

8 any, noted in the attached Errata Sheet.

9

10 \_\_\_\_\_

11 Elaine Duncan

DATE

12

13

14

15 Subscribed and sworn to before me this

16 \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

17 My commission expires: \_\_\_\_\_

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Notary Public

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